

HELIX TECHNOLOGY CORP

Form 4

October 27, 2005

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GENTILCORE JAMES

2. Issuer Name **and** Ticker or Trading  
Symbol  
HELIX TECHNOLOGY CORP  
[HELX]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

NINE HAMPSHIRE STREET

(Street)

MANSFIELD, MA 02048

(City)

(State)

(Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/26/2005

4. If Amendment, Date Original  
Filed(Month/Day/Year)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
President and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/26/2005		D	3,105 D <u>(1)</u>	0	D	
Common Stock	10/26/2005		D	1,240 D <u>(2)</u>	0	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**

SEC 1474  
(9-02)

# Edgar Filing: HELIX TECHNOLOGY CORP - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 17.215	10/26/2005		D		75,000		<u>(3)</u>	12/30/2014	Common Stock	75,000
Non-Qualified Stock Option (right to buy)	\$ 19.245	10/26/2005		D		17,000		<u>(4)</u>	04/28/2014	Common Stock	17,000
Non-Qualified Stock Option (right to buy)	\$ 8.595	10/26/2005		D		7,000		<u>(5)</u>	04/16/2013	Common Stock	7,000
Non-Qualified Stock Option (right to buy)	\$ 11.235	10/26/2005		D		75,000		<u>(6)</u>	12/13/2012	Common Stock	75,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GENTILCORE JAMES NINE HAMPSHIRE STREET MANSFIELD, MA 02048	X		President and CEO	

## Signatures

/s/ Beverly L. Couturier, Attorney-In-Fact for James Gentilcore

10/26/2005

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Disposed of pursuant to merger agreement (the "Merger Agreement") between Brooks Automation, Inc. ("Brooks"), Mt. Hood

(1) Corporation and Helix Technology Corporation in exchange for 3,446 shares of Brooks having a market value of \$12.69 per share on the effective date of the merger.

(2)

## Edgar Filing: HELIX TECHNOLOGY CORP - Form 4

Disposed of pursuant to the Merger Agreement in exchange for 1,376 shares of Brooks having a market value of \$12.69 per share on the effective date of the merger.

- (3) This option, which provided for vesting in four equal annual cumulative installments of 18,750 shares each beginning 12/30/05, was assumed by Brooks in the merger and replaced with an option to purchase 83,250 shares of Brooks common stock for \$15.51 per share.
- (4) This option, which provided for vesting in four equal annual cumulative installments of 4,250 shares each beginning 4/28/05, was assumed by Brooks in the merger and replaced with an option to purchase 18,870 shares of Brooks common stock for \$17.34 per share.
- (5) This option, which provided for vesting in four equal annual cumulative installments of 1,750 shares each beginning 4/16/04, was assumed by Brooks in the merger and replaced with an option to purchase 7,770 shares of Brooks common stock for \$7.75 per share.
- (6) This option, which provided for vesting in four equal annual cumulative installments of 18,750 shares each beginning 12/13/03, was assumed by Brooks in the merger and replaced with an option to purchase 83,250 shares of Brooks common stock for \$10.13 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.