

HAUSER DAVID L

Form 4

January 10, 2007

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

 1. Name and Address of Reporting Person *
HAUSER DAVID L

(Last) (First) (Middle)

526 S. CHURCH STREET

(Street)

CHARLOTTE, NC 28202

(City) (State) (Zip)

 2. Issuer Name and Ticker or Trading Symbol
Duke Energy CORP [DUK]

 3. Date of Earliest Transaction
 (Month/Day/Year)
01/08/2007

 4. If Amendment, Date Original
 Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Grp Exec & CFO

 6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 SEC 1474
 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
---------------------------------	---------------------------	--------------------------------------	-----------------------------------	---------------------	---	--	---

Edgar Filing: HAUSER DAVID L - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)		(A) or Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
			Code	V	(A)	(D)				
Stock Option (Right to Buy)	\$ 27.63	01/08/2007	D ⁽¹⁾			18,600	02/17/2002	02/17/2008	Common Stock	18,600
Stock Option (Right to Buy)	\$ 15.74	01/08/2007	A ⁽¹⁾		18,600		02/17/2002	02/17/2008	Common Stock	18,600
Stock Option (Right to Buy)	\$ 29.66	01/08/2007	D ⁽¹⁾			31,800	02/17/2003	02/17/2009	Common Stock	31,800
Stock Option (Right to Buy)	\$ 16.89	01/08/2007	A ⁽¹⁾		31,800		02/17/2003	02/17/2009	Common Stock	31,800
Stock Option (Right to Buy)	\$ 24.88	01/08/2007	D ⁽¹⁾			37,800	12/20/2003	12/20/2009	Common Stock	37,800
Stock Option (Right to Buy)	\$ 14.17	01/08/2007	A ⁽¹⁾		37,800		12/20/2003	12/20/2009	Common Stock	37,800
Stock Option (Right to Buy)	\$ 42.81	01/08/2007	D ⁽¹⁾			31,200	12/20/2004	12/20/2010	Common Stock	31,200
Stock Option (Right to Buy)	\$ 24.38	01/08/2007	A ⁽¹⁾		31,200		12/20/2004	12/20/2010	Common Stock	31,200
Stock Option (Right to Buy)	\$ 37.68	01/08/2007	D ⁽¹⁾			32,500	12/19/2005	12/19/2011	Common Stock	32,500
Stock Option (Right to	\$ 21.46	01/08/2007	A ⁽¹⁾		32,500		12/19/2005	12/19/2011	Common Stock	32,500

Buy)

Stock Option (Right to Buy)	\$ 38.33	01/08/2007	D ⁽¹⁾	4,700	01/17/2002	01/17/2012	Common Stock	4,7
Stock Option (Right to Buy)	\$ 21.83	01/08/2007	A ⁽¹⁾	4,700	01/17/2002	01/17/2012	Common Stock	4,7
Stock Option (Right to Buy)	\$ 17.1	01/08/2007	D ⁽¹⁾	5,400	01/28/2003	01/28/2013	Common Stock	5,4
Stock Option (Right to Buy)	\$ 9.74	01/08/2007	A ⁽¹⁾	5,400	01/28/2003	01/28/2013	Common Stock	5,4
Stock Option (Right to Buy)	\$ 13.77	01/08/2007	D ⁽¹⁾	34,200	⁽²⁾	02/25/2013	Common Stock	34,2
Stock Option (Right to Buy)	\$ 7.84	01/08/2007	A ⁽¹⁾	34,200	⁽²⁾	02/25/2013	Common Stock	34,2

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HAUSER DAVID L 526 S. CHURCH STREET CHARLOTTE, NC 28202			Grp Exec & CFO	

Signatures

By: David S. Maltz,
Attorney-in-fact for

01/10/2007

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On January 2, 2007, Duke Energy Corporation ("Issuer") spun off its natural gas businesses to form the stand-alone company Spectra Energy Corp. As a result, equitable adjustments were made to Duke Energy's outstanding stock option awards.
- (2) Vested in four annual, equal installments beginning on February 25, 2004.

Edgar Filing: HAUSER DAVID L - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.