STONERIDGE INC Form 3 July 11, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Hartman Robert J. Jr.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/01/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

STONERIDGE INC [SRI]

(Check all applicable)

(give title below) (specify below)

Chief Accounting Officer

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O STONERIDGE,

INC., 9400 EAST MARKET

STREET

(Street)

Director _X__ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

WARREN. OHÂ 44484

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Shares, without par value

39,331

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Performance Shares	(1)	(1)	Common Shares, without par value	26,715	\$ <u>(1)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other		
Hartman Robert J. Jr. C/O STONERIDGE, INC. 9400 EAST MARKET STREET WARREN, OH 44484	Â	Â	Chief Accounting Officer	Â		

Signatures

/s/ Robert M. Loesch, by power of attorney

07/11/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Each Performance Share represents a contingent right to receive one Company Common Share. These amounts represent grants made to the Reporting Person pursuant to the Company's Long-Term Incentive Plan in 2014, 2015 and 2016. For 2014 grants, provided that the Reporting Person remains employed until March 25, 2017, 3,500 Common Shares shall be earned and, depending on Company
- (1) performance, up to 5,250 Common Shares may be earned. For 2015 grants, provided that the Reporting Person remains employed until March 30, 2018, 3,340 Common Shares shall be earned and, depending on Company performance, up to 6,120 Common Shares may be earned. For 2016 grants, provided that the Reporting Person remains employed until March 4, 2019, 3,000 Common Shares shall be earned and, depending on Company performance, up to 5,505 Common Shares may be earned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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