

LaPinska Deborah L
Form 4
March 06, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
LaPinska Deborah L

(Last) (First) (Middle)

PGT, INC., 1070 TECHNOLOGY
DRIVE

(Street)

NORTH VENICE, FL 34275

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PGT, Inc. [PGTI]

3. Date of Earliest Transaction
(Month/Day/Year)
03/04/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Vice President

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	03/04/2013		M	31,300	A \$ 1.51	48,251	D
Common Stock, par value \$0.01 per share	03/04/2013		F	14,866 (1)	D \$ 5.81	33,375	D
Common Stock, par value \$0.01 per share	03/04/2013		S	5,000	D \$ 5.7	28,375	D

Edgar Filing: LaPinska Deborah L - Form 4

Common Stock, par value \$0.01 per share	03/04/2013	S	2,800	D	\$ 5.84	25,575	D
Common Stock, par value \$0.01 per share	03/04/2013	S	6,834	D	\$ 5.85	18,741	D
Common Stock, par value \$0.01 per share	03/04/2013	S	1,200	D	\$ 5.88	17,541	D
Common Stock, par value \$0.01 per share	03/04/2013	S	300	D	\$ 5.9	17,241	D
Common Stock, par value \$0.01 per share	03/04/2013	S	300	D	\$ 5.91	16,941	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 1.51	03/04/2013		M	31,300	<u>(2)</u> 01/29/2014	Common Stock	31,300

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LaPinska Deborah L PGT, INC. 1070 TECHNOLOGY DRIVE NORTH VENICE, FL 34275			Vice President	

Signatures

/s/ Deborah L.
LaPinska

03/06/2013

__Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects 14,866 shares withheld at market price of \$5.81 per share less an exercise price of \$1.51 per share to fund the cashless exercise of 31,300 options owned by Mrs. Lapinska and the tax liability associated therewith
- (2) Fully Exercisable on date of grant

Remarks:

The transactions reported in the above Table I reflect the cashless exercise of stock options. The cashless exercise of the options

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.