

Ranieri Alfred J Jr  
Form 3  
September 06, 2011

**FORM 3**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Ranieri Alfred J Jr

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

06/12/2007

3. Issuer Name **and** Ticker or Trading Symbol

SOUTHERN CONNECTICUT BANCORP INC [SSE]

4. Relationship of Reporting  
Person(s) to Issuer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer ☐ Other  
(give title below) (specify below)

C/O SOUTHERN  
CONNECTICUT BANCORP,  
INC.,Â 215 CHURCH STREET

(Street)

NEW HAVEN,Â CTÂ 06510

(City)

(State)

(Zip)

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

38,045

D

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Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

4. Conversion  
or Exercise  
Price of  
Derivative

5. Ownership  
Form of  
Derivative  
Security:

6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

## Edgar Filing: Ranieri Alfred J Jr - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (Right to Buy) <u>(2)</u>	12/31/2005	06/30/2015	Common Stock	120	\$ 7.9	D	Â
Stock Option (Right to Buy) <u>(2)</u>	12/31/2005	06/30/2015	Common Stock	90	\$ 7.9	D	Â
Stock Option (Right to Buy) <u>(2)</u>	12/31/2005	06/30/2015	Common Stock	90	\$ 7.9	D	Â
Stock Option (Right to Buy) <u>(1)</u>	12/31/2005	09/30/2015	Common Stock	180	\$ 7.85	D	Â
Stock Option (Right to Buy) <u>(1)</u>	12/31/2005	09/30/2015	Common Stock	135	\$ 7.85	D	Â
Stock Option (Right to Buy) <u>(1)</u>	12/31/2005	09/30/2015	Common Stock	135	\$ 7.85	D	Â
Stock Option (Right to Buy) <u>(1)</u>	12/31/2005	12/31/2015	Common Stock	148	\$ 7.08	D	Â
Stock Option (Right to Buy) <u>(1)</u>	12/31/2005	12/31/2015	Common Stock	111	\$ 7.08	D	Â
Stock Option (Right to Buy) <u>(1)</u>	12/31/2005	12/31/2015	Common Stock	111	\$ 7.08	D	Â
Warrants (Right to Buy) <u>(2)</u>	11/15/2002	11/15/2011	Common Stock	520	\$ 10.39	D	Â
Warrants (Right to Buy) <u>(2)</u>	11/15/2003	11/15/2011	Common Stock	390	\$ 10.39	D	Â
Warrants (Right to Buy) <u>(2)</u>	11/15/2004	11/15/2011	Common Stock	390	\$ 10.39	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ranieri Alfred J Jr C/O SOUTHERN CONNECTICUT BANCORP, INC. 215 CHURCH STREET NEW HAVEN, CT 06510	Â X	Â	Â	Â

## Signatures

/s/ Alfred J.  
Ranieri, Jr. 09/06/2011

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vested 40% at the end of year one from grant date and 30% at the end of year two and three from the Grant date. All options were declared vested as of 12/31/05.
  - (2) Warrants vested 40% at the end of year one from grant date and 30% at the end of year two and three from the Grant date.

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### Remarks:

ThisÂ FormÂ 3Â isÂ aÂ continuationÂ ofÂ FormÂ 3Â filedÂ underÂ accessionÂ numberÂ 0001387131-11-001968.  
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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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