MERCANTILE BANK CORP

Form 4

March 07, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

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Check this box

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SULLIVAN THOMAS R

2. Issuer Name and Ticker or Trading

Symbol

MERCANTILE BANK CORP [MBWM]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 03/04/2016

Director Officer (give title

10% Owner Other (specify

6. Ownership 7. Nature of

C/O MERCANTILE BANK CORPORATION, 310 LEONARD STREET

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

 $S^{(1)}$

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

GRAND RAPIDS, MI 49504

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

03/04/2016

Execution Date, if

(Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities

5. Amount of Securities Beneficially Owned Following Reported

Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V (D) Price Amount

By IRA

SEC 1474

(9-02)

Common

Common

Stock

560

D

\$ 24 72,426.661

Stock

23,773

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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1

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Common Stock - right to buy)	\$ 22					06/01/2014	11/27/2016	Common Stock	1,575
Employee Stock Option (Common Stock - right to buy)	\$ 16					06/01/2014	12/03/2017	Common Stock	7,000
Employee Stock Option (Common Stock - right to buy)	\$ 7.8					06/01/2014	11/24/2018	Common Stock	7,000
Employee Stock Option (right to buy)	\$ 22.14					11/13/2016	11/12/2021	Common Stock	1,488
Employee Stock Option (right to buy)	\$ 27.66					11/19/2017	11/18/2022	Common Stock	620

8. F Der Sec (Ins

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SULLIVAN THOMAS R C/O MERCANTILE BANK CORPORATION 310 LEONARD STREET GRAND RAPIDS, MI 49504

Signatures

/s/ Bradley J. Wyatt, Attorney-in-Fact

03/07/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 3, 2015. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3