Worthington Robert T. Form 4 December 03, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

0.5

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

ST.

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * Worthington Robert T.

2. Issuer Name and Ticker or Trading Symbol

MERCANTILE BANK CORP

[MBWM]

3. Date of Earliest Transaction

(Month/Day/Year) 11/29/2018

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

C/O MERCANTILE BANK CORPORATION, 310 LEONARD

(Middle)

(Street)

(First)

4. If Amendment, Date Original

Director 10% Owner Officer (give title Other (specify below)

COO, SECRETARY, SVP, AND GC

6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

D

Indirect

Beneficial

Ownership (Instr. 4)

GRAND RAPIDS, MI 49504

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities

(A)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

3,268 11/29/2018 \$0 Α 12,268 (1)

Common In 401(k) 15.571 (2) Stock plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and		7. Title and Amount of		8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Underlying Securities		I
Security	or Exercise		any	Code of		(Month/Day/Year)		(Instr. 3 and 4)		5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e				(
	Derivative				Securities					
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
						Date Exercisable	Expiration Date	Title	Amount or Number of	
				Code V	(A) (D)				Shares	
Employee										
Stock								Common		
Option (right to buy)	\$ 36.22					11/17/2018	11/16/2023	Common Stock	500	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Worthington Robert T. C/O MERCANTILE BANK CORPORATION 310 LEONARD ST. GRAND RAPIDS, MI 49504

COO, SECRETARY, SVP, AND GC

8. F Der Sec (Ins

Signatures

/s/ Bradley J. Wyatt, Attorney-in-Fact

12/03/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This award of shares is being made pursuant to the Issuer's performance-based restricted stock award agreement, such that a portion or all of this award of restricted stock may be forfeited in the future if certain performance metrics are not met.
- (2) Includes shares acquired pursuant to the issuer's 401(k) Plan since last report of common stock ownership.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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