

BRISTOL MYERS SQUIBB CO
Form 3
June 01, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

HOOPER ANTHONY C

(Last) (First) (Middle)

BRISTOL-MYERS SQUIBB COMPANY, RT. 206 & PROVINCELINE ROAD

(Street)

PRINCETON, NJ 08540

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/24/2007

3. Issuer Name and Ticker or Trading Symbol

BRISTOL MYERS SQUIBB CO [(BMY)]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner

Officer Other

(give title below) (specify below)

President US Pharmaceuticals

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock, \$0.10 par value

97,208 ⁽¹⁾

D

À

Common Stock, \$0.10 par value

3,675

I

By Spouse

Common Stock, \$0.10 par value ⁽²⁾

2,784.97

I

By BMY Savings & Investment Program

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Option (right to buy)	03/03/1999 ⁽³⁾	03/02/2008	Common Stock, \$0.10 par value	25,660	\$ 48.45	D	Â
Executive Option (right to buy)	01/04/2000 ⁽³⁾	01/03/2009	Common Stock, \$0.10 par value	30,813	\$ 62.95	D	Â
Option (right to buy)	01/03/2001 ⁽³⁾	01/02/2010	Common Stock, \$0.10 par value	2,524	\$ 61.09	D	Â
Option (right to buy)	03/07/2001 ⁽³⁾	03/06/2010	Common Stock, \$0.10 par value	33,127	\$ 42.64	D	Â
Executive Option (right to buy)	03/06/2002 ⁽⁴⁾	03/05/2011	Common Stock, \$0.10 par value	36,150	\$ 59.78	D	Â
Option (right to buy)	03/05/2003 ⁽³⁾	03/04/2012	Common Stock, \$0.10 par value	40,000	\$ 48.08	D	Â
Option (right to buy)	06/04/2005 ⁽³⁾	06/03/2007	Common Stock, \$0.10 par value	30,000	\$ 28.9	D	Â
Option (right to buy)	03/04/2004 ⁽³⁾	03/03/2013	Common Stock, \$0.10 par value	68,000	\$ 23.14	D	Â
Option (right to buy)	Â ⁽⁵⁾	03/01/2014	Common Stock, \$0.10 par value	63,333	\$ 28.11	D	Â
Executive Option (right to buy)	Â ⁽⁶⁾	02/28/2015	Common Stock,	55,833	\$ 25.45	D	Â

Executive Option (right to buy)	Â (7)	03/06/2016	Common Stock, \$0.10 par value	78,750	\$ 22.73	D	Â
Executive Option (right to buy)	Â (8)	11/30/2016	Common Stock, \$0.10 par value	200,000	\$ 24.74	D	Â
Executive Option (right to buy)	Â (9)	03/05/2017	Common Stock, \$0.10 par value	104,616	\$ 27.01	D	Â
Restricted Stock Units	Â (10)	Â (10)	Common Stock, \$0.10 par value	26,151	\$ (11)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOOPER ANTHONY C BRISTOL-MYERS SQUIBB COMPANY RT. 206 & PROVINCELINE ROAD PRINCETON, NJ 08540	Â	Â	Â President US Pharmaceuticals	Â

Signatures

By: /s/ Sonia Vora, Attorney-in-Fact 05/31/2000

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 83,578 shares of unvested restricted stock.
- (2) Based on a plan statement as of the end of the most recent fiscal quarter.
- (3) Currently Exercisable.
- (4) 18,075 shares are currently exercisable. The remaining 18,075 shares are exercisable on the earlier to occur of (i) the closing share price achieving a price threshold and remaining at that threshold for a given number of days or (ii) March 6, 2009.
- (5) 47,499 shares are currently exercisable and the remaining 15,834 shares will become exercisable on March 2, 2008.
- (6) 27,916 shares are currently exercisable. An additional 13,958 shares will become exercisable on each of March 1, 2008 and March 1, 2009.
- (7)

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19,687 shares are currently exercisable. The remaining shares will vest 25% on each of March 7, 2008, March 7, 2009 and March 7, 2010.

- (8) One-third of the options will become exercisable on each of December 1, 2009, December 1, 2010 and December 1, 2011.
Option is not exercisable until the closing share price of common stock achieves a price of at least 15% above the option grant price and remains at that price for seven consecutive trading days. 25% of the shares vest annually, on a cumulative basis, on March 6, 2008, March 6, 2009, March 6, 2010 and March 6, 2011.
- (9) One-third of the restricted stock units will vest on each of March 6, 2010, March 6, 2011 and March 6, 2012 and will be converted into shares of common stock.
- (10) Each restricted stock unit converts into one share of common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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