

CITIZENS FINANCIAL GROUP INC/RI  
Form 3  
September 23, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |  |                                      |  |  |
|--|--|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person *                  |  | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol         |  |
| Â Subramaniam Shivan S.                                    |  | (Month/Day/Year)                     | CITIZENS FINANCIAL GROUP INC/RI [CFG]                      |  |
| (Last)   | (First)                                      | (Middle)                             | 09/23/2014   |  |
| C/O CITIZENS FINANCIAL GROUP, INC., Â 600 WASHINGTON BLVD. |  |                                      | 4. Relationship of Reporting Person(s) to Issuer           | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street)   | (Check all applicable)                       |                                      |  |  |
|  | <input checked="" type="checkbox"/> Director | <input type="checkbox"/> 10% Owner   |  |  |
|  | <input type="checkbox"/> Officer             | <input type="checkbox"/> Other       | 6. Individual or Joint/Group Filing(Check Applicable Line) |  |
|  | (give title below)                           |                                      | (specify below)  |  |
| STAMFORD, Â CT Â 06901                                     |  |                                      |  |  |
| (City)   | (State)                                      | (Zip)                                |  |  |
| 1. Title of Security (Instr. 4)                            |  |                                      |  |  |
| 2. Amount of Securities Beneficially Owned (Instr. 4)      |  |                                      |  |  |
| 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)   |  |                                      |  |  |
| 4. Nature of Indirect Beneficial Ownership (Instr. 5)      |  |                                      |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

|  |  |   |  |  |   |
|--|--|---|--|--|---|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|  | Date Exercisable   | Expiration Date   | Title  | Amount or Number of                                  |   |

Shares or Indirect  
(I)  
(Instr. 5)

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Subramaniam Shivan S.<br>C/O CITIZENS FINANCIAL GROUP, INC.<br>600 WASHINGTON BLVD.<br>STAMFORD, CT 06901 | X             |           |         |       |

## Signatures

/s/ Lindsey Cameron, as  
Attorney-in-Fact

09/23/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

### No securities are beneficially owned

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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