

Edgar Filing: WisdomTree Investments, Inc. - Form SC 13G

WisdomTree Investments, Inc.
Form SC 13G
January 25, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G

made on January 25, 2013.

Under the Securities Exchange Act of 1934

Issuer-WisdomTree Investments, Inc.

Securities Class-common

CUSIP Number-97717P104

Event Requiring Filing -December 31, 2012

Filed pursuant to Rule 13d-1(b)

CUSIP Number 97717P104

Reporter- Horizon Kinetics LLC
Tax ID#45-0642972

Place of Organization-Delaware

Number of Shares-sole voting power-6,846,590
Sole dispositive power-6,846,590
Amount beneficially owned-6,846,590

Percent of Class represented by above-5.47%

Type of Reporting Person-HC

Name of Issuer-WisdomTree Investments, Inc.
Address of Issuer-380 Madison Avenue, 21st Floor, New York, New York 10017

Name of Person Filing-Horizon Kinetics LLC
Address -470 Park Avenue South, 4th Floor South, New York, New York 10016
Citizenship-Delaware
Securities Class-common stock

Filing re Section 240.13d-1(b) by investment
adviser under Section 240.13d-1(b) (1) (ii) (E) .
Amount beneficially owned-6,846,590
Percent of class-5.47%
sole voting power-6,846,590
shared power to vote or direct the vote-0
sole power to dispose/direct the disposition-6,846,590
shared power to dispose/direct the disposition-0

Certification pursuant to Section 240.13d-1(b):

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By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date-January 25, 2013

Signature-Andrew M. Fishman
 Title-Associate General Counsel
 Horizon Kinetics LLC

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(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer
Hudgens John			TEXAS CAPITAL BANCSHARES INC/TX [TCBI]	(Check all applicable)
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner
2000 MCKINNEY AVENUE, SUITE 700			01/27/2011	<input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	EVP & Chief Credit Officer
DALLAS, TX 75201				6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)		<input checked="" type="checkbox"/> Form filed by One Reporting Person
				<input type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common stock, par value \$0.01 per share	01/27/2011		M		10,000	A	\$ 7.25
Common stock, par	01/27/2011		S		16,000	D	\$ 24.9

value \$0.01
per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock options	\$ 7.25	01/27/2011		M	10,000	<u>(1)</u> 04/16/2012	Common stock, par value \$0.01 per share	10,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hudgens John 2000 MCKINNEY AVENUE SUITE 700 DALLAS, TX 75201			EVP & Chief Credit Officer	

Signatures

/s/ John
Hudgens

01/28/2011

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock options vested immediately upon their grant on April 16, 2002.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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