

KENNAMETAL INC
Form 4
November 07, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
NEWLIN WILLIAM R

(Last) (First) (Middle)

NEWLIN INVESTMENT
COMPANY LLC, 428 BEAVER
STREET - 2ND FLOOR

(Street)

SEWICKLEY, PA 15143

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
KENNAMETAL INC [kmt]

3. Date of Earliest Transaction
(Month/Day/Year)
11/05/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/05/2013		M	3,500	A \$ 20.49	89,000	D
Common Stock	11/05/2013		S	3,500	D \$ 47.01	85,500	D
Common Stock	11/06/2013		M	5,500	A \$ 20.49	91,000	D
Common Stock	11/06/2013		S	5,500	D \$ 47,031 (1)	85,500	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I Der Sec (In
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Optin (right to buy)	\$ 20.49	11/05/2013		M	3,500	<u>(2)</u>	07/27/2014	Common Stock	3,500	
Stock Option (right to buy)	\$ 20.49	11/06/2013		M	5,500	<u>(2)</u>	07/27/2014	Common Stock	5,500	

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

NEWLIN WILLIAM R
NEWLIN INVESTMENT COMPANY LLC
428 BEAVER STREET - 2ND FLOOR
SEWICKLEY, PA 15143

X

Signatures

By: Kevin G. Nowe For: William R.
Newlin

11/07/2013

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This price is a weighted average price. The range of prices for the transactions is \$47.00 to \$47.108. Full information regarding the number of shares sold at each separate price will be provided upon request.
- (2) Option is exercisable in three equal annual installments, commencing on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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