

LAM RESEARCH CORP
Form 4
July 15, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ARCHER TIMOTHY

2. Issuer Name and Ticker or Trading Symbol
LAM RESEARCH CORP [LRCX]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
C/O LAM RESEARCH CORPORATION, 4650 CUSHING PARKWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
07/11/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Chief Operating Officer

FREMONT, CA 94538

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|---------------|---|--|-----------------------------------|------------|
| | | | | Code | V | Amount | | | | (A) or (D) |
| Common Stock | 07/11/2013 | | M | | | 33,750 | A | \$ 24.43 | 0 | D |
| Common Stock | 07/11/2013 | | S | | | 33,750 (4) | D | \$ 50.003 (5) | 0 | D |
| Common Stock | 07/11/2013 | | M | | | 38,390 | A | \$ 23.25 | 0 | D |
| Common Stock | 07/11/2013 | | S | | | 38,390 (4) | D | \$ 50.03 (6) | 0 | D |
| | 07/11/2013 | | M | | | 38,292 | A | \$ 22.01 | 0 | D |

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| | | | | | | | | | |
|--------------|------------|---|---------------|---|------------------|---------|--|---|------------------|
| Common Stock | | | | | | | | | |
| Common Stock | 07/11/2013 | S | 38,292 (4) | D | \$ 50.021 (6) | 0 | | D | |
| Common Stock | 07/11/2013 | S | 20,000 (4) | D | \$ 50.026 (7) | 0 | | D | |
| Common Stock | 07/12/2013 | M | 6,708 | A | \$ 22.01 | 0 | | D | |
| Common Stock | 07/12/2013 | S | 6,708 (4) | D | \$ 50.012 (8) | 0 | | D | |
| Common Stock | 07/12/2013 | M | 17,860 | A | \$ 23.25 | 0 | | D | |
| Common Stock | 07/12/2013 | S | 17,860 (4) | D | \$ 50.023 (9) | 162,056 | | D | |
| Common Stock | | | | | | 4,227 | | I | By 401(k) |
| Common Stock | | | | | | 500 | | I | By Spouse 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option | \$ 24.43 | 07/11/2013 | | M | 33,750 | (1) 12/16/2014 | Common Stock | 33,750 |

(right to buy)

| | | | | | | | | |
|-----------------------------|----------|------------|---|--------|-----|------------|--------------|--------|
| Stock Option (right to buy) | \$ 22.01 | 07/11/2013 | M | 38,292 | (2) | 12/15/2015 | Common Stock | 38,292 |
| Stock Option (right to buy) | \$ 23.25 | 07/11/2013 | M | 38,390 | (3) | 12/13/2017 | Common Stock | 38,390 |
| Stock Option (right to buy) | \$ 22.01 | 07/12/2013 | M | 6,708 | (2) | 12/15/2015 | Common Stock | 6,708 |
| Stock Option (right to buy) | \$ 23.25 | 07/12/2013 | M | 17,860 | (3) | 12/13/2017 | Common Stock | 17,860 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| ARCHER TIMOTHY C/O LAM RESEARCH CORPORATION 4650 CUSHING PARKWAY FREMONT, CA 94538 | | | Chief Operating Officer | |

Signatures

Kym Hall by Power of Attorney
07/15/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested 25% annually from 12/16/05 - 12/16/08.
- (2) The option vested 25% annually from 12/15/06 - 12/15/09.
- (3) The option vested 25% annually from 12/13/08 - 12/13/11.
- (4) Shares sold pursuant to 10b5-1 Trading Plan.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.00 - \$50.02, inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.00 - \$50.13, inclusive.

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- (7) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.00 - \$50.15, inclusive.
- (8) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.00 - \$50.04, inclusive.
- (9) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.00 - \$50.19, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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