

RADCLIFFE JEREMY L

Form 3

May 24, 2012

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Bachtold Paul Axtell

(Last)

(First)

(Middle)

4265 SAN FELIPE,Â 8TH  
FLOOR

(Street)

HOUSTON,Â TXÂ 77027

(City)

(State)

(Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

05/24/2012

3. Issuer Name **and** Ticker or Trading Symbol

Salient Midstream &amp; MLP Fund [SMM]

4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other  
(give title below) (specify below)

Chief Compliance Officer

6. Individual or Joint/Group  
Filing(Check Applicable Line)☐ Form filed by One Reporting  
Person☒ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)Date  
ExercisableExpiration  
Date3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)Title Amount or  
Number of  
Shares4. Conversion  
or Exercise  
Price of  
Derivative  
Security5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect  
(I)6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

## Reporting Owners

| Reporting Owner Name / Address                                                  | Relationships |           |                               |                   |
|---------------------------------------------------------------------------------|---------------|-----------|-------------------------------|-------------------|
|                                                                                 | Director      | 10% Owner | Officer                       | Other             |
| Bachtold Paul Axtell<br>4265 SAN FELIPE<br>8TH FLOOR<br>HOUSTON, TX 77027       | Â             | Â         | Â Chief Compliance Officer    | Â                 |
| BLAISDELL JOHN A<br>4265 SAN FELIPE STREET<br>8TH FLOOR<br>HOUSTON, TX 77027    | Â X           | Â         | Â Principal Executive Officer | Â                 |
| Gardner Frank Theodore III<br>4265 SAN FELIPE<br>8TH FLOOR<br>HOUSTON, TX 77027 | Â             | Â         | Â                             | Portfolio Manager |
| LINBECK ANDREW B<br>4265 SAN FELIPE<br>8TH FLOOR<br>HOUSTON, TX 77027           | Â X           | Â         | Â                             | Â                 |
| Partridge Lee George<br>4265 SAN FELIPE<br>8TH FLOOR<br>HOUSTON, TX 77027       | Â             | Â         | Â                             | Portfolio Manager |
| PRICE JOHN E<br>4265 SAN FELIPE<br>8TH FLOOR<br>HOUSTON, TX 77027               | Â             | Â         | Â Treasurer - CFO             | Â                 |
| RADCLIFFE JEREMY L<br>4265 SAN FELIPE<br>8TH FLOOR<br>HOUSTON, TX 77027         | Â             | Â         | Â Secretary                   | Â                 |
| Reid Gregory Allen<br>4265 SAN FELIPE<br>8TH FLOOR<br>HOUSTON, TX 77027         | Â X           | Â         | Â President                   | Â                 |
| SHERMAN A HAAG<br>4265 SAN FELIPE<br>8TH FLOOR<br>HOUSTON, TX 77027             | Â X           | Â         | Â                             | Â                 |
| CARROLL JONATHAN P<br>4265 SAN FELIPE<br>8TH FLOOR                              | Â X           | Â         | Â                             | Â                 |

HOUSTON, TX 77027

## Signatures

Paul Bachtold 05/24/2012

\_\_Signature of  
Reporting Person

Date

John A Blaisdell 05/24/2012

\_\_Signature of  
Reporting Person

Date

Ted Gardner 05/24/2012

\_\_Signature of  
Reporting Person

Date

Andrew B  
Linbeck 05/24/2012\_\_Signature of  
Reporting Person

Date

Lee Partridge 05/24/2012

\_\_Signature of  
Reporting Person

Date

John Price 05/24/2012

\_\_Signature of  
Reporting Person

Date

Jeremy Radcliffe 05/24/2012

\_\_Signature of  
Reporting Person

Date

Gregory A Reid 05/24/2012

\_\_Signature of  
Reporting Person

Date

A Haag Sherman 05/24/2012

\_\_Signature of  
Reporting Person

Date

Jonathan Carroll 05/24/2012

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

### No securities are beneficially owned

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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