

Edgar Filing: Orion Group Holdings Inc - Form 8-K

Orion Group Holdings Inc
Form 8-K
December 14, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K
CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 13, 2017

ORION GROUP HOLDINGS, INC.
(Exact name of Registrant as specified in its charter)

Delaware 1-33891 26-0097459
(State or other Jurisdiction of Incorporation) (Commission File Number) (IRS Employer Identification Number)

12000 Aerospace Ave., Suite 300
Houston, Texas 77034
(Address of principal executive offices)

(713) 852-6500
(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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|------------------------|----------|
| TABLE OF CONTENTS | |
| Item 8.01 Other Events | <u>1</u> |
| SIGNATURES | <u>2</u> |
| EXHIBIT INDEX | <u>3</u> |

Item 8.01 Other Events

Chris DeAlmeida, Executive Vice President and Chief Financial Officer, has entered into two 10b5-1 Option Exercise and Sales Plans with a third party broker as of December 13, 2017. The first plan covers Stock Options (Schedule B) and the second plan covers Restricted Stock Unit/Awards (Schedule C). Each plan will terminate on January 15, 2020. A copy of each sales plan is attached hereto as Exhibit 99.1 and Exhibit 99.2 as incorporated by reference.

*Certain schedules to the agreement have been omitted pursuant to Item 601(b)2 of Regulation S-K. The registrant will furnish supplementally a copy of any omitted schedules to the Securities and Exchange Commission upon request.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Orion Group Holdings, Inc.

Dated: December 14, 2017 By: /s/ Christopher J. DeAlmeida

Executive Vice President and Chief Financial Officer

Exhibit Index

| Exhibit No. | Description |
|-------------|--|
| <u>99.1</u> | 10b5-1 Option Exercise and Sales Plan (Schedule B) |
| <u>99.2</u> | 10b5-1 Option Exercise and Sales Plan (Schedule C) |

3