## Edgar Filing: ELLIE MAE INC - Form 4

ELLIE MAE	E INC										
Form 4											
March 02, 20	017										
FORM	ЛЛ								OMB AF	PROVAL	
	UNITEL	) STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check th				<b>U</b> .					Expires:	January 31,	
if no long subject to		MENT OF	CHAN	GES IN	BENEF	<b>CIA</b>	LOW	NERSHIP OF	•	2005	
Section 1				SECUR	ITIES				Estimated average burden hours per		
Form 4 c	or								response	0.5	
Form 5	Filed pu	ursuant to S	ection 1	6(a) of the	e Securit	ies E	xchang	e Act of 1934,			
obligatio may cont				•	•	· ·		1935 or Section	n		
See Instr 1(b).		30(h) o	of the In	vestment	Compan	y Ac	t of 194	0			
(Print or Type 1	Responses)										
BROWN BRIAN E. Symbol				er Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
ELLIE N				MAE INC	ELLI			(Check	k all applicable	)	
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction						
				nth/Day/Year)				Director 10% Owner X Officer (give title Other (specify			
	MAE, INC., 44 DD DRIVE, SUI		03/01/2	017				below)	below)	a (specify	
RUSEWUC	DDDKIVE, SUI	TE 300						EVP, O	General Counse	el	
	(Street)		4. If Ame	ndment, Da	te Origina	l		6. Individual or Jo	int/Group Filin	g(Check	
Filed(Mon				nth/Day/Year	)			Applicable Line)			
PLEASAN	TON, CA 94588	3						_X_ Form filed by C Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		Date, if	3. Transactic Code (Instr. 8)	4. Securition(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
				Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)	
Common Stock	03/01/2017(1)			S	251 <u>(2)</u>		\$ 95.27	17,903	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: ELLIE MAE INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of ) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	Date	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships							
		Director	10% Owner	Officer	Other				
BROWN BRIAN E. C/O ELLIE MAE, INC. 4420 ROSEWOOD DRIVE, SUITE 500 PLEASANTON, CA 94588				EVP, General Counsel					
Signatures									
/s/ Brian E. Brown	03/02/2017								
**Signature of Reporting Person	Date								

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to the reporting person's Rule 10b5-1 trading plan.
- (2) Represents 251 shares acquired by the Reporting Person on February 28, 2017 under the Issuer's employee stock purchase plan in a transaction exempt from the reporting requirements under Section 16(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.