

Edgar Filing: CASTLE A M & CO - Form 4

CASTLE A M & CO

Form 4

June 10, 2002

FORM 4 [] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940				OMB APPROVAL OMB Number: 3235-0287 Expires: December 31, 2001 Estimated average burden hours per response. . . . 0.5	
1. Name and Address of Reporting Person* Aufox, Jerry M. (Last) (First) (Middle) 3400 North Wolf Road (Street) Franklin Park, IL 60131 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol A. M. Castle & Co. CAS 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	4. Statement for (Month/Year) May 2002 5. If Amendment, Date of Original (Month/Year)	6. Relationship of Reporting Person(s) to Issuer (Check all applicable) _____ Director _____ 10% Owner ☒ Officer _____ Other _____ Officer/Other Description <u>Corporate Counsel & Secretary</u> 7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Individual Filing ☐ Joint/Group Filing			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code and Voluntary Code (Instr. 8) Code V	4. Securities Acquired (A) or Disposed (D) Of (Instr. 3, 4, and 5) Amount A/D Price	5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4)	6. Ownership Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				10,245.0000	D	
Common Stock				1,652.4600	I	By 401(k)
Common Stock				548.8916	I	By PAYSOP Plan

 (over)
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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Con- version or Exercise Price of Deri- vative Security	3. Transac- tion Date (Month/ Day/ Year)	4. Transac- tion Code and Voluntary (V) Code (Instr.8)	5. Number of Derivative Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned at End of Month (Instr.4)	10. Owner- ship Form of Deriv- ative Security: Direct (D) or Indirect (I)	11. Nature of Indirect Beneficial Ownership (Instr.4)
1996 Stock Option	\$18.75	04/01/2002	J (1) V	(D) 2,100.00	07/25/1997 07/25/2006	Common Stock - 2,100.00		0.00	D	
1997 Stock Option	\$21.88	04/01/2002	J (1) V	(D) 1,900.00	07/25/1998 07/25/2007	Common Stock - 1,900.00		0.00	D	
1998 Stock Option	\$20.25	04/01/2002	J (1) V	(D) 2,700.00	07/24/1999 07/24/2008	Common Stock - 2,700.00		0.00	D	
1999 Stock Option	\$16.00				07/22/2000 07/21/2009	Common Stock - 5,000.00		5,000.00	D	
2000 Stock Option	\$10.00				07/27/2001 07/27/2010	Common Stock - 5,000.00		5,000.00	D	
2001 Stock Option	\$11.00				07/26/2002 07/26/2011	Common Stock - 5,000.00		5,000.00	D	

Explanation of Responses :

** Intentional misstatements or omissions of facts _____
constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). ** Signature of Reporting Person
Date

Note: File three copies of this Form, one of
which must be manually signed. If space is
insufficient,

See Instruction 6 for procedure.

Jerry M. Aufox

Potential persons who are to respond to the
collection of information contained in this form
are not
required to respond unless the form displays a
currently valid OMB number.

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FOOTNOTE Descriptions for A. M. Castle & Co. CAS

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Jerry M. Aufox
3400 North Wolf Road
Franklin Park, IL 60131

Explanation of responses:

(1) Disposition pursuant to a Tender Offer

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