

HALLIBURTON CO
Form 4
July 23, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LESAR DAVID J

(Last) (First) (Middle)

3000 N. SAM HOUSTON PARKWAY E.

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction (Month/Day/Year)
07/21/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, Pres. and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price (A) or (D) | | |
| Common Stock | 07/21/2014 | | M | | 32,100 A \$ 29.35 | D | |
| Common Stock | 07/21/2014 | | S | | 32,100 (1) D \$ 71.99 | D | |
| Common Stock | 07/21/2014 | | S | | 10,000 (1) D \$ 71.99 | D | |
| Common Stock | 07/22/2014 | | M | | 32,100 A \$ 29.35 | D | |
| Common Stock | 07/22/2014 | | S | | 32,100 (1) D \$ 72.99 | D | |

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| | | | | | | | | |
|--------------|------------|---|----------------------|---|----------|------------|---|-----------|
| Common Stock | 07/22/2014 | S | 10,000 <u>(1)</u> | D | \$ 72.99 | 536,471.93 | D | |
| Common Stock | | | | | | 3,308.14 | I | By Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount Number Shares |
| Option to Buy Common Stock | \$ 29.35 | 07/21/2014 | | M | 32,100 <u>(2)</u> | 12/01/2009 12/01/2019 | Common Stock 64,200 |
| Option to Buy Common Stock | \$ 29.35 | 07/22/2014 | | M | 32,100 <u>(2)</u> | 12/01/2009 12/01/2019 | Common Stock 32,100 |
| Call Option (obligation to sell) | \$ 70 | | | | | 06/20/2014 01/17/2015 | Common Stock 21,500 |
| Put Option (right to sell) | \$ 70 | | | | | 06/20/2014 01/17/2015 | Common Stock 21,500 |
| Option to Buy Common Stock | \$ 50.62 | | | | | 12/04/2013 12/04/2023 | Common Stock 137,900 |
| Option to Buy Common Stock | \$ 33.5 | | | | | 12/05/2012 12/05/2022 | Common Stock 208,900 |
| | \$ 35.57 | | | | | 12/06/2011 12/06/2021 | 141,900 |

Option to
Buy
Common
Stock

Common
Stock

Option to
Buy
Common
Stock \$ 39.19

12/01/2010 12/01/2020

Common
Stock 108,000

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| LESAR DAVID J 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032 | X | | Chairman, Pres. and CEO | |

Signatures

Robert L. Hayter, by Power of
Attorney 07/23/2014

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 19, 2014.
- (2) Options disposed of through exercise pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 19, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.