

HALLIBURTON CO
Form 4
October 20, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Carre Eric

(Last) (First) (Middle)

3000 N. SAM HOUSTON PARKWAY E.

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction (Month/Day/Year)
10/19/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

EVP, Global Business Lines

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 10/19/2016 | | M | 13,900 A \$ 36.31 | 169,993.6 | D | |
| Common Stock | 10/19/2016 | | S | 13,900 (1) D \$ 50 | 156,093.6 | D | |
| Common Stock | 10/19/2016 | | M | 3,666 A \$ 34.15 | 159,759.6 | D | |
| Common Stock | 10/19/2016 | | S | 3,666 (1) D \$ 50 | 156,093.6 | D | |
| Common Stock | 10/19/2016 | | S | 777 (1) D \$ 50 | 155,411.1 (2) | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Option to Buy Common Stock | \$ 36.31 | 10/19/2016 | | M | 13,900 (3) | 01/03/2013 | 01/03/2023 | Common Stock | 13,900 |
| Option to Buy Common Stock | \$ 34.15 | 10/19/2016 | | M | 3,666 (3) | 01/03/2012 | 01/03/2022 | Common Stock | 3,666 |
| Option to Buy Common Stock | \$ 34.48 | | | | | 01/04/2016 | 01/04/2026 | Common Stock | 28,604 |
| Option to Buy Common Stock | \$ 39.49 | | | | | 01/02/2015 | 01/02/2025 | Common Stock | 24,750 |
| Option to Buy Common Stock | \$ 50.01 | | | | | 01/02/2014 | 01/02/2024 | Common Stock | 8,300 |
| Option to Buy Common Stock | \$ 40.83 | | | | | 01/01/2011 | 01/01/2021 | Common Stock | 7,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Carre Eric 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032 | | | EVP, Global Business Lines | |

Signatures

| | |
|--|---------------------|
| /s/ Robert L. Hayter, by Power of Attorney | 10/20/2016 |
| <small>**Signature of Reporting Person</small> | <small>Date</small> |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 22, 2016.
- (2) Includes 94.500 shares of stock purchased through the Halliburton Company Employee Stock Purchase Plan for the periods ended June 30, 2016 and September 30, 2016.
- (3) Options disposed of through exercise pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 22, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.