

Wochner William J  
 Form 4  
 March 07, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Wochner William J**

(Last) (First) (Middle)  
**KANSAS CITY SOUTHERN, PO BOX 219335**  
 (Street)

**KANSAS CITY, MO 64121-9335**  
 (City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**KANSAS CITY SOUTHERN [KSU]**

3. Date of Earliest Transaction (Month/Day/Year)  
**03/05/2019**

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Sr VP & Chief Legal Officer**

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock	03/05/2019		A	804 A \$ 0	28,291.453	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 35.41					<u>(1)</u> 02/29/2020	02/29/2020	Common Stock	6,800
Employee Stock Option (Right to Buy)	\$ 52.62					<u>(2)</u> 02/22/2021	02/22/2021	Common Stock	2,830
Employee Stock Option (Right to Buy)	\$ 66.99					<u>(2)</u> 02/21/2022	02/21/2022	Common Stock	2,037
Employee Stock Option (Right to Buy)	\$ 97.77					<u>(2)</u> 02/20/2023	02/20/2023	Common Stock	1,618
Employee Stock Option (Right to Buy)	\$ 94.23					<u>(2)</u> 02/19/2024	02/19/2024	Common Stock	1,720
Employee Stock Option (Right to Buy)	\$ 119.35					<u>(2)</u> 02/17/2025	02/17/2025	Common Stock	1,649
Employee Stock Option (Right to Buy)	\$ 82.71					<u>(2)</u> 02/18/2026	02/18/2026	Common Stock	3,851

