Edgar Filing: HUMPHREYS STEVEN - Form 4

HUMPHREY	YS STEVEN											
Form 4												
March 12, 20)19											
FORM	1 4								-	PPROVAL		
	UNITEI	D STATES		ITIES A hington,			NGE (COMMISSION	OMB Number:	3235-0287		
Check thi		8 ,					Expires:	January 31,				
if no long subject to	STATE	EMENT O	F CHAN	IGES IN BENEFICIAL OWN				NERSHIP OF	•	2005 Worago		
Section 1				SECUR	ITIES				Estimated average burden hours per			
Form 4 or	orm 4 or							response 0.5				
Form 5 obligation	^						•	ge Act of 1934,				
may cont	Section 1			•	•	· ·		f 1935 or Sectio	n			
See Instru		30(h)	of the In	vestment	Compan	y Act	t of 194	40				
1(b).												
(Drint or Tuno E	Desmonsos)											
(Print or Type F	(esponses)											
			2. Issuer Symbol	2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer				
	Identiv,	Inc. [INV	'E]			(Check all applicable)						
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	ansaction			(Cnec	ск ан аррисави	e)		
			(Month/D					X Director	10% Owner			
2201 WALN #100	NUT AVENUE	, SUITE	03/08/20	-				X Officer (give below)	e title Othe below) CEO	er (specify		
	(Street)		4 If Δme	ndment, Dat	te Original			6 Individual or Id	oint/Group Filir	ng(Check		
· · · · · · · · · · · · · · · · · · ·				th/Day/Year)	-			6. Individual or Joint/Group Filing(Check Applicable Line)				
								X Form filed by 0	One Reporting Pe	erson		
FREMONT	, CA 94538							Form filed by N Person	Nore than One Re	eporting		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	uired, Disposed of	f, or Beneficial	lly Owned		
1.Title of	2. Transaction D	ate 2A Dee	emed	3.	4. Securi	ties A	cauired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Yea		on Date, if	Transactio			-		Form: Direct			
(Instr. 3)		any	-	Code (D)				Beneficially	× /	Beneficial		
		(Month/	/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
								Reported	(111501. 4)	(Instr. 4)		
						(A)		Transaction(s)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	03/08/2019			F	7,369	D	\$ 5 38	225,850 <u>(1)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

er

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Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
HUMPHREYS STEVEN 2201 WALNUT AVENUE, FREMONT, CA 94538	SUITE #100	Х		CEO				
Signatures								
/s/ Steven Humphreys	03/12/2019							

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes an aggregrate of 37,832 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.