Edgar Filing: RIOCAN REAL ESTATE INVESTMENT TRUST - Form 3

RIOCAN REAL ESTATE INVESTMENT TRUST Form 3 November 05, 2009 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB

#### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Statement

currently valid OMB control number.

(Print or Type Responses)

Person \*

1. Name and Address of Reporting

 RIOCAN REAL ESTATE (Month/Day/Year) INVESTMENT TRUST 10/26/2009 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **RIOCANYONGE EGLINTON** (Check all applicable) CENTER, 2300 YONGE ST., SUITE 500, PO BOX 2386 \_X\_ 10% Owner Director (Street) Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Person TORONTO, ONTARIO. A6Â M4P 1E4 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1.Title of Security 2. Amount of Securities 3. Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) Comon Stock Ι 6,666,666 By subsidiary--see footnote (1)Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

Form filed by One Reporting \_X\_ Form filed by More than One

(Instr. 4)



3235-0104 Number: January 31, Expires: 2005 Estimated average

burden hours per response... 0.5

### Edgar Filing: RIOCAN REAL ESTATE INVESTMENT TRUST - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Warrant	10/26/2009	10/26/2011	Common Stock	1,428,570	\$ 7	Ι	By subsidiary see footnote $(2)$

## **Reporting Owners**

	Relationships					
<b>Reporting Owner Name / Address</b>	Director	10% Owner	Officer	Other		
RIOCAN REAL ESTATE INVESTMENT TRUST RIOCANYONGE EGLINTON CENTER 2300 YONGE ST., SUITE 500, PO BOX 2386 TORONTO, ONTARIO, A6 M4P 1E4	Â	X	Â	Â		
RioCan Holdings USA Inc. RIOCAN YONGE EGLINTON CTR. 2300 YONGE ST., #500, POB 2386 TORONTO, ONTARIO, A6 M4P 1E4	Â	X	Â	Â		

## Signatures

/s/ Raghunath Davloor, RIOCAN REAL ESTATE INVESTMENT TRUST Senior Vice President and Chief Financial Officer			
**Signature of Reporting Person	Date		
/s/ Raghunath Davloor, RIOCAN HOLDINGS USA INC Senior Vice President, Chief Financial Officer and Secretary			
**Signature of Reporting Person	Date		

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- RioCan Real Estate Investment Trust indirectly beneficially owns the reported common stock in the issuer through its subsidiary RioCan
  (1) Holdings USA Inc. This Form 3 is being jointly filed by RioCan Real Estate Investment Trust and RioCan Holdings USA Inc. to report shares of common stock that are indirectly and directly beneficially owned by such reporting persons, respectively.

RioCan Real Estate Investment Trust indirectly beneficially owns the reported warrant to purchase common stock in the issuer through its subsidiary RioCan Holdings USA Inc. This Form 3 is being jointly filed by RioCan Real Estate Investment Trust and RioCan Holdings

(2) USA Inc. to report the warrant to purchase shares of common stock that is indirectly and directly beneficially owned by such reporting persons, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.