

Edgar Filing: H&Q HEALTHCARE INVESTORS - Form 13F-HR

H&Q HEALTHCARE INVESTORS  
 Form 13F-HR  
 August 06, 2001

as of 6/30/01

FORM 13F

Page of Name of Reporting Manager: HA

| Item 1:<br>Name of Issuer          | Item 2:<br>Title of Class | Item 3:<br>CUSIP<br>Number | Item 4:<br>Fair Market<br>Value | Item 5:<br>Shares of<br>Principal<br>Amount |
|------------------------------------|---------------------------|----------------------------|---------------------------------|---|
| Affymetrix                         | Common                    | 00826T108                  | 2,205,000                       | 100,000                                     |
| Aradigm                            | Common                    | 038505103                  | 2,450,000                       | 350,000                                     |
| ATS Medical                        | Common                    | 002083103                  | 9,583,642                       | 636,364                                     |
| Biofield                           | Common                    | 090591207                  | 316,356                         | 878,766                                     |
| Biofield (Restricted)              | Common                    | 090591207                  | 288,000                         | 1,000,000                                   |
| Bioject Medical Technologies       | Common                    | 09059T206                  | 2,810,780                       | 238,000                                     |
| Biopure                            | Common                    | 09065H915                  | 4,476,202                       | 169,746                                     |
| BioTransplant                      | Common                    | 09066Y107                  | 5,522,015                       | 712,518                                     |
| Biovail                            | Common                    | 09067K106                  | 24,853,638                      | 571,348                                     |
| Calypte Biomedical                 | Common                    | 131722100                  | 459,477                         | 1,093,993                                   |
| Catalytica Energy Systems          | Common                    | 148884109                  | 2,788,775                       | 128,515                                     |
| Celgene                            | Common                    | 151020104                  | 19,906,500                      | 690,000                                     |
| Cor Therapeutics                   | Common                    | 217753102                  | 9,150,000                       | 300,000                                     |
| Corixa                             | Common                    | 21887F100                  | 6,682,222                       | 391,460                                     |
| Cubist Pharmaceuticals             | Common                    | 229990981                  | 36,404,190                      | 958,005                                     |
| Curis                              | Common                    | 231269101                  | 2,149,710                       | 340,684                                     |
| CV Therapeutics                    | Common                    | 126667104                  | 31,139,499                      | 546,307                                     |
| Cytec                              | Common                    | 232946103                  | 10,010,293                      | 434,286                                     |
| Digene                             | Common                    | 253752109                  | 9,384,000                       | 230,000                                     |
| Dyax                               | Common                    | 26746E103                  | 10,388,535                      | 546,765                                     |
| Emisphere Technologies             | Common                    | 291345106                  | 4,389,570                       | 151,000                                     |
| Endocardial Solutions (Restricted) | Common                    | 292962107                  | 9,583,330                       | 1,666,666                                   |
| Envirogen                          | Common                    | 294040100                  | 22,812                          | 20,833                                      |
| EP Med Systems                     | Common                    | 26881P103                  | 2,795,000                       | 1,075,000                                   |
| Exelixis                           | Common                    | 30161Q104                  | 4,249,280                       | 224,000                                     |
| Focal                              | Common                    | 343909107                  | 331,812                         | 271,977                                     |
| Genzyme                            | Common                    | 372917104                  | 16,419,000                      | 269,000                                     |
| Genzyme Biosurgery                 | Common                    | 372917708                  | 955,574                         | 115,547                                     |
| Gilead Sciences                    | Common                    | 37555B103                  | 41,507,974                      | 713,318                                     |
| IDEXX Laboratories                 | Common                    | 45168D104                  | 7,812,500                       | 250,000                                     |
| ImClone Systems                    | Common                    | 45245W109                  | 4,488,000                       | 85,000                                      |
| KeraVision                         | Common                    | 648990992                  | 6,186                           | 206,185                                     |
| Lynx Therapeutics                  | Common                    | 551812308                  | 2,565,000                       | 375,000                                     |
| Martek Biosciences                 | Common                    | 572901106                  | 22,236,385                      | 780,224                                     |
| MedImmune                          | Common                    | 584699102                  | 15,576,000                      | 330,000                                     |
| Molecular Devices                  | Common                    | 60851C107                  | 5,829,898                       | 290,768                                     |
| Orthovita (Restricted)             | Common                    | 68750U102                  | 5,936,400                       | 1,700,000                                   |
| Quintiles Transnational            | Common                    | 748767100                  | 3,860,725                       | 152,900                                     |
| Radiance Medical Systems           | Common                    | 750241101                  | 1,742,400                       | 330,000                                     |
| Scios                              | Common                    | 808905103                  | 13,943,075                      | 557,500                                     |
| Sepracor                           | Common                    | 817315104                  | 14,214,092                      | 357,138                                     |
| Telik                              | Common                    | 87959M109                  | 6,566,650                       | 666,665                                     |
| Teva Pharmaceutical Industries     | Common                    | 881624209                  | 6,230,000                       | 100,000                                     |
| Transkaryotic Therapies            | Common                    | 893735100                  | 11,266,097                      | 382,550                                     |

## Edgar Filing: H&Q HEALTHCARE INVESTORS - Form 13F-HR

|                       |        |           |            |         |
|-----------------------|--------|-----------|------------|---------|
| Tularik               | Common | 899165104 | 10,471,611 | 405,405 |
| Verdant Brands        | Common | 923366108 | 228        | 38,000  |
| Versicor              | Common | 925314106 | 9,405,000  | 750,000 |
| Versicor (Restricted) | Common | 925314106 | 2,792,730  | 296,941 |

COLUMN TOTALS

416,166,163

(SEC USE O

Page            of            Name of Reporting Manager: HAMBRECHT & QUIST CAPITAL MANAGEMENT

|                           |                                     | Item 8:<br>Voting Authority (Shares) |            |          |
|---------------------------|-------------------------------------|--------------------------------------|------------|----------|
| Item 1:<br>Name of Issuer | Item 7:<br>Managers<br>See Instr. V | (a) Sole                             | (b) Shared | (c) None |

|                                    |    |   |  |  |
|------------------------------------|----|---|--|--|
| Affymetrix                         | NA | X |  |  |
| Aradigm                            | NA | X |  |  |
| ATS Medical                        | NA | X |  |  |
| Biofield                           | NA | X |  |  |
| Biofield (Restricted)              | NA | X |  |  |
| Bioject Medical Technologies       | NA | X |  |  |
| Biopure                            | NA | X |  |  |
| BioTransplant                      | NA | X |  |  |
| Biovail                            | NA | X |  |  |
| Calypte Biomedical                 | NA | X |  |  |
| Catalytica Energy Systems          | NA | X |  |  |
| Celgene                            | NA | X |  |  |
| Cor Therapeutics                   | NA | X |  |  |
| Corixa                             | NA | X |  |  |
| Cubist Pharmaceuticals             | NA | X |  |  |
| Curis                              | NA | X |  |  |
| CV Therapeutics                    | NA | X |  |  |
| Cytec                              | NA | X |  |  |
| Digene                             | NA | X |  |  |
| Dyax                               | NA | X |  |  |
| Emisphere Technologies             | NA | X |  |  |
| Endocardial Solutions (Restricted) | NA | X |  |  |
| Envirogen                          | NA | X |  |  |
| EP Med Systems                     | NA | X |  |  |
| Exelixis                           | NA | X |  |  |
| Focal                              | NA | X |  |  |
| Genzyme                            | NA | X |  |  |
| Genzyme Biosurgery                 | NA | X |  |  |
| Gilead Sciences                    | NA | X |  |  |
| IDEXX Laboratories                 | NA | X |  |  |
| ImClone Systems                    | NA | X |  |  |
| KeraVision                         | NA | X |  |  |
| Lynx Therapeutics                  | NA | X |  |  |
| Martek Biosciences                 | NA | X |  |  |
| MedImmune                          | NA | X |  |  |
| Molecular Devices                  | NA | X |  |  |
| Orthovita (Restricted)             | NA | X |  |  |
| Quintiles Transnational            | NA | X |  |  |
| Radiance Medical Systems           | NA | X |  |  |
| Scios                              | NA | X |  |  |
| Sepracor                           | NA | X |  |  |
| Telik                              | NA | X |  |  |
| Teva Pharmaceutical Industries     | NA | X |  |  |
| Transkaryotic Therapies            | NA | X |  |  |
| Tularik                            | NA | X |  |  |

Edgar Filing: H&Q HEALTHCARE INVESTORS - Form 13F-HR

|                       |    |   |
|-----------------------|----|---|
| Verdant Brands        | NA | X |
| Versicor              | NA | X |
| Versicor (Restricted) | NA | X |

COLUMN TOTALS