

SOUTHERN MISSOURI BANCORP INC

Form SC 13G/A

February 14, 2017

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G/A

Under the Securities Exchange Act of 1934

(Amendment No. 17)*

Southern Missouri Bancorp, Inc.

(Name of Issuer)

Common Stock, Par Value \$0.01 per share

(Title of Class of Securities)

843380 10 6

(CUSIP Number)

December 31, 2016

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☒ Rule 13d-1(b)

☐ Rule 13d-1(c)

☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which could alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP NO. 843380 10 6

1	NAMES OF REPORTING PERSONS Southern Bank 401(k) Retirement Plan
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) (b) SEC USE ONLY
3	
4	CITIZENSHIP OR PLACE OF ORGANIZATION Not applicable
	SOLE VOTING POWER 5 -0-
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	SHARED VOTING POWER 6 410,136
	SOLE DISPOSITIVE POWER 7 -0-
	SHARED DISPOSITIVE POWER 8 410,136
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 410,136
10	CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 5.5%
12	TYPE OF REPORTING PERSON (SEE INSTRUCTIONS) EP

CUSIP NO. 843380 10 6

ITEM 1(a) NAME OF ISSUER:

Southern Missouri Bancorp, Inc.

ITEM 1(b) ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

2991 Oak Grove Road, Poplar Bluff, Missouri 63901

ITEM 2(a) NAME OF PERSON FILING:

Southern Bank 401(k) Retirement Plan.

ITEM 2(b) ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE:

The business address of the Plan is:
2991 Oak Grove Road, Poplar Bluff, Missouri 63901

ITEM 2(c) CITIZENSHIP:

Not applicable.

ITEM 2(d) TITLE OF CLASS OF SECURITIES

Common stock, par value \$.01 per share (the "Common Stock")

ITEM 2(e) CUSIP NUMBER: 843380 10 6

ITEM 3 IF THIS STATEMENT IS FILED PURSUANT TO SECTION 240.13d-1(b) OR 240.13d-2(b) or (c), CHECK WHETHER THE PERSON FILING IS A:

- (a) ☐ Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o);
- (b) ☐ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) ☐ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d) ☐ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e) ☐ An investment adviser in accordance with Section 240.13d-1(b)(1)(ii)(E);
- (f) ☒ An employee benefit plan or endowment fund in accordance with Section 240.13d-1(b)(1)(ii)(F);
- (g) ☐ A parent holding company or control person in accordance with Section 240.13d-1(b)(1)(ii)(G);
- (h) ☐ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) ☐ A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) ☐ A non-U.S. institution in accordance with Section 240.13d-1(b)(1)(ii)(J); and
- (k) ☐ Group, in accordance with Section 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with Section 240.13d-1(b)(1)(ii)(J), please specify the type of institution: _____

ITEM 4 OWNERSHIP:

As of December 31, 2016, the Plan held an aggregate of 410,136 shares of Common Stock (5.5% of the outstanding shares). The Plan has shared voting and dispositive powers with respect to the shares held by the Plan.

Pursuant to the Plan, participants in the Plan are entitled to instruct the Plan trustee as to the voting of the shares allocated to their Plan accounts. On each issue with respect to which shareholders are entitled to vote, the Plan trustee is required to vote the shares held by the Plan which have not been allocated to participant accounts in the manner directed under the Plan.

ITEM 5 OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS:

Not applicable.

ITEM 6 OWNERSHIP OF MORE THAN FIVE PERCENT ON

BEHALF OF
ANOTHER
PERSON:

Not Applicable.

ITEM 7 IDENTIFICATION
AND
CLASSIFICATION
OF THE
SUBSIDIARY
WHICH
ACQUIRED THE
SECURITY BEING
REPORTED ON
BY THE PARENT
HOLDING
COMPANY OR
CONTROL
PERSON:

Not Applicable.

ITEM 8 IDENTIFICATION
AND
CLASSIFICATION
OF MEMBERS OF
THE GROUP:

Not Applicable.

ITEM 9 NOTICE OF
DISSOLUTION OF
GROUP:

Not Applicable.

ITEM 10 CERTIFICATIONS

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

SOUTHERN BANK 401(k) RETIREMENT
PLAN

Date: February 14, 2017

By: Southern Bank, as Plan Administrator

By: /s/ Matthew T. Funke

Name: Matthew T. Funke

Title: Chief Financial Officer