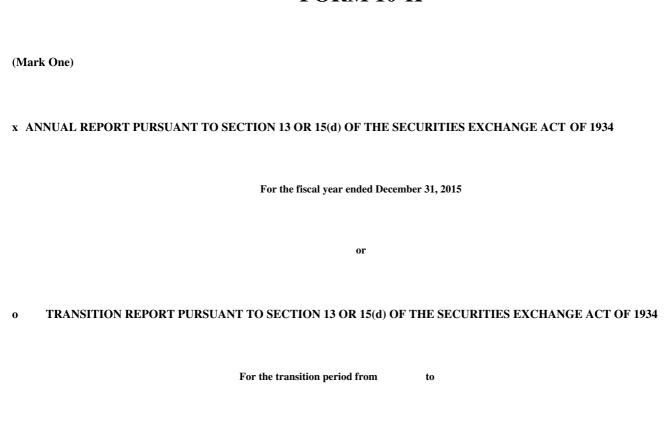
RETRACTABLE TECHNOLOGIES INC Form 10-K March 30, 2016 Table of Contents

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 10-K



Retractable Technologies, Inc.

Commission file number 001-16465

(Exact name of registrant as specified in its charter)

Texas (State or other jurisdiction of incorporation or organization)

75-2599762 (I.R.S. Employer Identification No.)

511 Lobo Lane Little Elm, Texas (Address of principal executive offices)

75068-5295 (Zip Code)

| 972-294-1010 | | | | | |
|--|--|--|--|--|--|
| Registrant s telephone number, including area code | | | | | |
| Securities registered pursuant to Section 12(b) of the Act: | | | | | |
| Title of each class Common | Name of each exchange on which registered NYSE MKT LLC | | | | |
| Securities registered pursuant to Section 12(g) of the Act: | | | | | |
| | Preferred Stock | | | | |
| | (Title of class) | | | | |
| Indicate by check mark if the registrant is a well-known seasoned issuer, as defined in Rule 405 of the Securities Act. Yes o No x | | | | | |
| Indicate by check mark if the registrant is not required to file reports pursuant to Section 13 or Section 15(d) of the Act. Yeso No x | | | | | |

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days. Yes x No o

Indicate by check mark whether the registrant has submitted electronically and posted on its corporate Web site, if any, every Interactive Data File required to be submitted and posted pursuant to Rule 405 of Regulation S-T (§232.405 of this chapter) during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files). Yes x No o

Indicate by check mark if disclosure of delinquent filers pursuant to Item 405 of Regulation S-K (§229.405 of this chapter) is not contained herein, and will not be contained, to the best of registrant s knowledge, in definitive proxy or information statements incorporated by reference in Part III of this Form 10-K or any amendment to this Form 10-K. x

| Indicate by che | eck mark whether the regi | istrant is a large acc | elerat | ed filer, an accelerated filer, | a non-accelerated filer, or a smaller reporting company. | See the |
|-----------------|---------------------------|------------------------|--------|---------------------------------|--|---------|
| definitions of | large accelerated filer, | accelerated filer, | and | smaller reporting company | in Rule 12b-2 of the Exchange Act: | |

| Large accelerated filer o Non-accelerated filer o (Do not check if a smaller reporting company) | Accelerated filer o Smaller reporting company x | | | | | |
|--|--|--|--|--|--|--|
| Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Act). Yes | о No х | | | | | |
| State the aggregate market value of the voting and non-voting common equity held by non-affiliates comput equity was last sold, or the average bid and asked price of such common equity, as of the last business day of quarter. The aggregate market value of the common equity held by non-affiliates as of June 30, 2015 was \$ outstanding shares held by non-affiliates of 14,867,232. | f the registrant s most recently completed second fiscal | | | | | |
| APPLICABLE ONLY TO REGISTRANTS INVOLVED IN BAI | NKRUPTCY | | | | | |
| PROCEEDINGS DURING THE PRECEDING FIVE YE | ARS: | | | | | |
| Indicate by check mark whether the registrant has filed all documents and reports required to be filed by Sec 1934 subsequent to the distribution of securities under a plan confirmed by a court. Yes o No o | etion 12, 13, or 15(d) of the Securities Exchange Act of | | | | | |
| (APPLICABLE ONLY TO CORPORATE REGISTRAN | ITS) | | | | | |
| Indicate the number of shares outstanding of each of the registrant s classes of common stock, as of the late 28,619,874 shares of our Common Stock outstanding. | st practicable date. As of March 1, 2016, there were | | | | | |
| DOCUMENTS INCORPORATED BY REFERENCE | | | | | | |
| List hereunder the following documents if incorporated by reference and the Part of the Form 10-K (e.g., Pa incorporated: (1) Any annual report to security holders; (2) Any proxy or information statement; and (3) Ar the Securities Act of 1933. The listed documents should be clearly described for identification purposes (e.g. ended December 24, 1980). | y prospectus filed pursuant to Rule 424(b) or (c) under | | | | | |
| None except exhibits. | | | | | | |
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Table of Contents

RETRACTABLE TECHNOLOGIES, INC.

FORM 10-K

For the Fiscal Year Ended December 31, 2015

TABLE OF CONTENTS

PART I

| Item 1. Business | 1 |
|------------------------------------|----|
| Item 1A. Risk Factors | 9 |
| Item 1B. Unresolved Staff Comments | 11 |
| Item 2. Properties | 11 |
| Item 3. Legal Proceedings | 11 |
| Item 4. Mine Safety Disclosures | 12 |