

Edgar Filing: GENTA INCORPORATED /DE/ - Form 4

GENTA INCORPORATED /DE/
Form 4
May 10, 2002

FORM 4

U.S. SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

/ / CHECK BOX IF NO
LONGER SUBJECT TO
SECTION 16. FORM 4
OR FORM 5 OBLIGATIONS
MAY CONTINUE. SEE
INSTRUCTION 1(b).

Filed pursuant to Section 16(a) of the Securities
Exchange Act of 1934, Section 17(a) of the
Public Utility Holding Company Act of 1935
or Section 30(f) of the Investment Company
Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	6. R
Klem Robert E.	Genta Incorporated (Nasdaq: GNTA)	I
(Last) (First) (Middle)	3. IRS Identification	4. Statement for
c/o Genta Incorporated	Number of Reporting	Month/Year
Two Connell Drive	Person, if an Entity	April 2002
(Street)	(Voluntary)	5. If Amendment,
Berkeley Heights NJ 07922		Date of Original
(City) (State) (Zip)		(Month/Year)
		7. I
		(

TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount o curities cially O End of M (Instr.
		Code V	Amount (A) or Price (D)	
Common Stock, par value \$.001	4/01/02	X	6,000 A \$0.94375	
Common Stock, par value \$.001	4/01/02	S	6,000 D \$16.6495	
Common Stock, par value \$.001	4/15/02	X	6,000 A \$0.94375	
Common Stock, par value \$.001	4/15/02	S	6,000 D \$13.6400	-0-

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the Reporting Person.
 *If the Form is filed by more than one Reporting Person, see Instruction 4(b) (v).

(Print or Type Response)

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE
 UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.
 SEC1474 (3-99)

FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED
 (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.			
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	
Options to acquire Common Stock (2)	\$0.94375	4/01/02	X		6,000		3/31/99	5/28/08	S
Options to acquire Common Stock (2)	\$0.94375	4/15/02	X		6,000		3/31/99	5/28/08	St

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1. Title of Derivative Security (Instr. 3)	9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
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599,353

D

Explanation of Responses:

- (1) Does not include 12,000 shares held by the Reporting Person's children's individual retirement
- (2) Issued under the Company's 1998 Employee Stock Incentive Plan. The option were exercised and the stock was sold under a Company approved Rule 10b5-1 Trading Plan.

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/

**Si

Note. File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE
REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB NUMBER.