

BANTA CORP  
Form 4  
January 11, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BERGSTROM JOHN F**

(Last) (First) (Middle)

225 MAIN STREET, P. O. BOX  
8003

(Street)

MENASHA, WI 54952-8003

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**BANTA CORP [BN]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/09/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V Amount (A) or (D) Price			
Common Stock	01/09/2007		D	214.606 D \$ 0 (1)	0	D	
Common Stock	01/09/2007		D	2,350 D \$ 0 (1)	0	I	John Bergstrom Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

Edgar Filing: BANTA CORP - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom stock units	\$ 0	01/09/2007		D		5,309.7		(2)	(2)	Phantom stock units	5,309.7
Stock Option	\$ 13.34	01/09/2007		D		2,158		10/26/2000	04/26/2010	Common Stock	2,158
Stock Option	\$ 14.86	01/09/2007		D		2,158		10/28/1999	04/27/2009	Common Stock	2,158
Stock Option	\$ 17.29	01/09/2007		D		6,475		04/01/1999	10/31/2008	Common Stock	6,475
Stock Option	\$ 18.07	01/09/2007		D		4,317		10/25/2001	04/25/2011	Common Stock	4,317
Stock Option	\$ 21.49	01/09/2007		D		4,317		10/30/2003	04/30/2013	Common Stock	4,317
Stock Option	\$ 25.52	01/09/2007		D		4,317		10/24/2002	04/24/2012	Common Stock	4,317
Stock Option	\$ 27.54	01/09/2007		D		4,317		10/27/2005	04/27/2015	Common Stock	4,317
Stock Option	\$ 31.83	01/09/2007		D		4,317		10/28/2004	04/28/2014	Common Stock	4,317
Stock Option	\$ 35.33	01/09/2007		D		4,317		10/26/2006	04/26/2016	Common Stock	4,317

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BERGSTROM JOHN F 225 MAIN STREET P. O. BOX 8003 MENASHA, WI 54952-8003	X			

## Signatures

Ronald D. Kneezel,  
attorney-in-fact

01/10/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (2) The units will be settled in cash following the reporting person's conclusion of service as a director.
  - (1) Shares of Common Stock were converted into the right to receive \$36.50 per share pursuant to an agreement and plan of merger between the issuer and RR Donnelley (the "Merger").
  - (3) Shares of Phantom Stock were converted into the right to receive \$36.50 per share pursuant to an agreement and plan of merger between the issuer and RR Donnelley (the "Merger").
- This option was canceled in the merger pursuant to a cash conversion whereby each share of common stock of the option was converted
- (4) into the right to receive cash in an amount equal to the product of (i) the number of shares of issuer's common stock subject to the option multiplied by (ii) the amount by which \$36.50 exceeds the exercise price of the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.