MOSS SARA E Form 4 February 20, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **MOSS SARA E** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**ESTEE LAUDER COMPANIES** INC [EL]

(Check all applicable)

Exec. VP, Gen. Counsel

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 02/16/2018

Director 10% Owner X\_ Officer (give title Other (specify below)

THE ESTEE LAUDER **COMPANIES INC., 767 FIFTH AVENUE** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10153

(City)

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

. •		Tabl	e 1 - Noll-1	Jerranive i	Secur	ines Acqu	in eu, Disposeu o	i, or belieficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securition(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	02/16/2018		M	30,044	A	\$ 76.23 (1)	97,676	I	Through a revocable trust.
Class A Common Stock	02/16/2018		S	30,044 (2)	D	\$ 140.4 (2) (3)	67,632	I	Through a revocable trust.
Class A Common Stock							7,762	I	Through a grantor retained annuity

trust.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of tiorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	<b>)</b> )	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to Buy)	\$ 76.23	02/16/2018		M	30,0	)44	<u>(1)</u>	09/03/2024	Class A Common Stock	30,044

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MOSS SARA E THE ESTEE LAUDER COMPANIES INC. 767 FIFTH AVENUE

Exec. VP, Gen. Counsel

#### **Signatures**

NEW YORK, NY 10153

Sara E. Moss by Maureen Sladek, attorney-in-fact 02/20/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options granted pursuant to Fiscal 2002 Share Incentive Plan in respect of 10,014 shares exercisable from and after January 1, 2016; 10,015 shares exercisable from and after January 1, 2017; and 10,015 shares exercisable from and after January 1, 2018.
- (2) The number of securities reported represents an aggregate number of shares sold in multiple open market transactions over a range of sales prices. The price reported represents the weighted average price. The Reporting Person undertakes to provide to the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares sold by the Reporting Person at each separate price

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within the range.

- (3) Sales prices range from \$139.99 to \$140.92 per share, inclusive.
- (4) Not applicable.

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