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NICHOLAS APPELGATE CONVERTIBLE & INCOME FUND

Form NSAR-B

April 28, 2009

PAGE 1
000 B000000 02/28/2009
000 C000000 0001214935
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 U
001 A000000 NICHOLAS-APPELGATE CONVERTIBLE & INCOME FUND
001 B000000 811-21284
001 C000000 2127393371
002 A000000 1345 AVENUE OF AMERICAS
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10105
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
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007 C011100 11
007 C011200 12
007 C011300 13
007 C011400 14
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007 C011600 16
007 C011700 17
007 C011800 18
007 C011900 19
007 C012000 20
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
008 B000001 A
008 C000001 801-57798
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10105
008 A000002 NICHOLAS-APPELGATE CAPITAL MANAGEMENT LLC
008 B000002 S
008 C000002 801-21442
PAGE 2
008 D010002 SAN DIEGO
008 D020002 CA
008 D030002 92101
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
010 B000001 801-57798
010 C010001 NEW YORK

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010 C020001 NY
010 C030001 10105
012 A000001 PNC GLOBAL INVESTMENT SERVICING
012 B000001 84-58023
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3027
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10017
014 A000001 DELETE
014 A000002 NICHOLAS-APPLGATE SECURITIES LLC
014 B000002 8-045406
014 A000003 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC
014 B000003 8-041811
014 A000004 ALLIANZ LIFE FINANCIAL SERVICES LLC
014 B000004 8-013630
014 A000005 QUESTAR CAPITAL CORP.
014 B000005 8-050174
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014 A000009 DELETE
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014 A000011 DELETE
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 80
019 C000000 ALLIANZGLO
020 A000001 BANK OF AMERICA
020 B000001 56-2058466
020 C000001 38
020 A000002 INSTINET
020 B000002 13-2625132
020 C000002 37
020 A000003 CITIGROUP, INC.
020 B000003 52-1568099
PAGE 3
020 C000003 27
020 A000004 LEHMAN BROTHERS HOLDINGS, INC.
020 B000004 13-3216325
020 C000004 26
020 A000005 LAZARD FRERES & CO. LLC
020 B000005 13-5545100
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020 A000006 WELLS FRAGO & CO.
020 B000006 41-1508325
020 C000006 23
020 A000007 LIQUIDNET INC.
020 B000007 13-4095933
020 C000007 19
020 A000008 JP MORGAN CHASE & CO.
020 B000008 13-2624428
020 C000008 18

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020 A000009 DEUTSCHE-BANK SECURITIES INC.
020 B000009 13-2730828
020 C000009 15
020 A000010 GOLDMAN, SACHS GROUP, INC.
020 B000010 13-4019460
020 C000010 12
021 000000 320
022 A000001 CREDIT SUISSE GROUP
022 B000001 13-5659485
022 C000001 101080
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022 A000002 GOLDMAN SACHS GROUP, INC.
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022 D000002 69894
022 A000003 CITIGROUP, INC.
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022 C000003 64321
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022 A000004 BANK OF AMERICA
022 B000004 56-2058466
022 C000004 61974
022 D000004 35175
022 A000005 LEHMAN BROTHERS HOLDINGS, INC.
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022 D000005 25184
022 A000006 WELLS FARGO & CO.
022 B000006 41-1508325
022 C000006 63515
022 D000006 20003
022 A000007 JP MORGAN CHASE & CO.
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022 D000007 36598
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022 A000008 DEUTSCHE-BANK SECURITIES INC.
022 B000008 13-2730828
022 C000008 47302
022 D000008 13601
022 A000009 BARCLAYS CAPITAL LE
022 B000009 05-0346412
022 C000009 28085
022 D000009 20074
022 A000010 THE BANK OF NY/MIZUHO SECURITIES
022 B000010 36-2880742
022 C000010 28296
022 D000010 14763
023 C000000 612978
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025 B000001 13-5659485
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025 D000001 19362
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| 025 | D000003 | 13465 | |
| 025 | A000004 | LEHMAN BROTHERS HOLDINGS, INC. | |
| 025 | B000004 | 13-3216325 | |
| 025 | C000004 | E | |
| 025 | D000004 | 4760 | |
| 025 | A000005 | CITIGROUP, INC. | |
| 025 | B000005 | 52-1568099 | |
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| 046 | 000000 | N |
| 047 | 000000 | Y |
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057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
PAGE 7
061 000000 0
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070 J010000 Y
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070 K020000 N
PAGE 8
070 L010000 Y
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070 M020000 N

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| 077 | M000000 | N |
| 077 | N000000 | N |
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| 086 | A020000 | 0 |
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| 086 | B020000 | 0 |
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086 D010000 7
086 D020000 168000
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
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087 A020000 65370F101
087 A030000 NCV
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087 B020000 65370F200
087 C010000 PREFERRED SHARES SERIES B
087 C020000 65370F309
088 A000000 N
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088 C000000 N
088 D000000 Y
SIGNATURE LAWRENCE ALTADONNA
TITLE TREASURER & CEO