

Edgar Filing: LUBRIZOL CORP - Form 4

LUBRIZOL CORP
Form 4
April 06, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
Hopping, Kenneth H.
29400 Lakeland Boulevard
Wickliffe, OH 44092
2. Issuer Name and Ticker or Trading Symbol
The Lubrizol Corporation
LZ
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
03/31/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)
Vice President and Secretary
7. Individual or Joint/Group Filing (Check Applicable Line)
(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	5. Amount of Securities Beneficially Owned at End of Month
Common Shares	3/6/01	M	2,751	A \$25.7813
Common Shares	3/6/01	F	2,001	D \$33.125 13,607
Common Shares				2,211.2771 (1)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Put or Call

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Employee Stock Option (Right to Buy)	\$25.7813	3/6/01	M	2,571	D	Curre nt	3/25/ 2001	Common Share s	2,571

Explanation of Responses:

(1) Reflects end-of-period holdings resulting from acquisitions pursuant to a qualified plan, which are exempt under Section 16b-3(c).

SIGNATURE OF REPORTING PERSON

/s/ Kenneth H. Hopping by Leslie M. Reynolds

DATE

April 6, 2001