Edgar Filing: CTI INDUSTRIES CORP - Form 4

CTI INDUS	TRIES CORP										
Form 4											
October 05,	2012										
FORM	14								OMB AF	PROVAL	
	UNITED	STATES		AITIES A			NGE C	COMMISSION	OMB Number:	3235-0287	
	Check this box								Expires:	January 31,	
if no longer subject to STATEMENT OF CHAN				NGES IN BENEFICIAL OWNERSHIP				NERSHIP OF		2005	
Section 16. SECURITIES							Estimated average burden hours per				
Form 4 c									response	0.5	
Form 5 obligatio							•	e Act of 1934,			
may con	Nection 17	· · ·		•	U	· ·		1935 or Section	1		
See Instr 1(b).		30(h)	of the In	vestment	Compan	y Act	: of 194	-0			
(Print or Type]	Responses)										
SCHWAN JOHN H Sy			2. Issuer Name and Ticker or Trading Symbol CTI INDUSTRIES CORP [CTIB]					5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable)			
(Last)	(First) ((Middle)		Earliest Tr	ansaction			W D'	¥. 100	0	
22160 NOR	RTH PEPER ROA	AD	(Month/D 09/28/2	•				X Director X Officer (give below) Cha	X10% titleOthe below) airman, CEO	o Owner or (specify	
	(Street)		4. If Ame	ndment, Da	te Origina	l		6. Individual or Jo	int/Group Filin	g(Check	
				Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
DAKKINU	10N, IL 00010							Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution D any (Month/Day		n Date, if	tate, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			l of (D)	Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	09/28/2012			M	2,000	A	\$ 1 936	585,265	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	e Expiration Da (Month/Day/Y	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
2007 Stock Option Grant - Right to Buy	\$ 1.936	09/28/2012		М	2,000) 11/18/2011	11/18/2012	Common Stock	2,000	

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SCHWAN JOHN H 22160 NORTH PEPER ROAD BARRINGTON, IL 60010	Х	Х	Chairman, CEO				
Signatures							
Jonathan K. Miller, Attorney in Schwan	ohn H.	10/05/2012					
**Signature of Reporting		Date					
Explanation of Do	non						

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.