RODRIGUEZ JUAN OSCAR

Form 4

November 06, 2012

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer

January 31, Expires: 2005

OMB APPROVAL

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * RODRIGUEZ JUAN OSCAR

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

EXTREME NETWORKS INC [EXTR]

(Check all applicable)

President and CEO

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

C/O EXTREME NETWORKS. INC., 3585 MONROE STREET

(Street)

(State)

4. If Amendment, Date Original

11/02/2012

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

SANTA CLARA, CA 95051

2. Transaction Date 2A. Deemed 1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

4. Securities Acquired 3. Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Ownership Owned Indirect (I) (Instr. 4) Following (Instr. 4)

> Reported (A)

Transaction(s)

or (Instr. 3 and 4) Price

Code V (D) Amount

D

Common Stock

(City)

11/02/2012

 $A^{(1)}$ 115,000

Α

\$0 641,631

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4. Transactio | 5. Number of orDerivative | 6. Date Exercisab Expiration Date | le and | 7. Title and A Underlying S |
|---|--|--------------------------------------|-------------------------------|--------------------|---|-----------------------------------|--------------------|-----------------------------|
| Security (Instr. 3) | or Exercise Price of Derivative Security | (Monday Day) Tear) | any (Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year |) | (Instr. 3 and |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title |
| Non-Qualified Stock Option (right to buy) | \$ 3.54 | 11/02/2012 | | A | 218,000 | 11/02/2013(2) | 11/02/2019 | Common Stock |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RODRIGUEZ JUAN OSCAR C/O EXTREME NETWORKS, INC. 3585 MONROE STREET SANTA CLARA, CA 95051

President and CEO

Signatures

By: Margaret Echerd For: Juan Oscar Rodriguez

11/06/2012

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of Restricted Stock Unit. Vests in full in 3 years, one-third annually from grant date.
- Option is exercisable as vested; 1/4 vests one year from date of grant and then 1/48 vests on each full month thereafter until fully vested, so long as the optionee's employment has not terminated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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