

Adamas Pharmaceuticals Inc
Form SC 13G
February 17, 2015

SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

Adamas Pharmaceuticals, Inc.

(Name of Issuer)

Common Stock, \$0.001 par value

(Title of Class of Securities)

00548A 106

(CUSIP Number)

December 31, 2014

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- ☐ Rule 13d-1(b)
- ☐ Rule 13d-1(c)
- ☒ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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1. Names of Reporting Persons
Gregory T Went
 2. Check the Appropriate Box if a Member of a Group (See Instructions)
 - (a) ☐
 - (b) ☐
 3. SEC Use Only
 4. Citizenship or Place of Organization
USA
- | | | |
|--|----|--|
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With: | 5. | Sole Voting Power
1,441,340(1) |
| | 6. | Shared Voting Power
580,448(2) |
| | 7. | Sole Dispositive Power
1,441,340(1) |
| | 8. | Shared Dispositive Power
580,448(2) |
9. Aggregate Amount Beneficially Owned by Each Reporting Person
2,021,788(3)
 10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) ☐
 11. Percent of Class Represented by Amount in Row 9
11.81%(4)
 12. Type of Reporting Person (See Instructions)
IN

(1) Includes 1,428,000 shares subject to options exercisable within 60 days of December 31, 2014. Also includes 6,666 shares held by Gregory T Went Cust - Cora Went Under CA Uniform Transfers to Minors Act and 6,666 shares held by Gregory T Went Cust - Bridget Went Under CA Uniform Transfers to Minors Act (collectively the Minor Custodianships). Dr. Went is a custodian of the Minor Custodianships and, as such, holds sole voting and dispositive power with respect to all shares held by the Minor Custodianships.

(2) Includes 80,000 shares held by Gregory T Went & Marjorie S Went tees 2012 Irr Trust FBO Bridget Elise Went, 80,000 shares held by Gregory T Went & Marjorie S Went tees 2012 Irr Trust FBO Cora Margaret Went, and 420,448 shares held by Gregory T Went & Marjorie S Went tees Went Family Living Trust dtd 03/24/11 (collectively, the Trusts). Dr. Went is a trustee of the Trusts and, as such, may be deemed to share voting and dispositive power with respect to all shares held by the Trusts.

(3) Includes 1,428,000 shares subject to options exercisable within 60 days of December 31, 2014, 13,332 shares held by the Minor Custodianships, and 580,448 shares held by the Trusts.

(4) The beneficial ownership percentage is based upon 17,125,780 shares of common stock, par value \$0.001, of Adamas Pharmaceuticals, Inc., a Delaware corporation (the Company), deemed issued and outstanding as of October 30, 2014 based on information reported by the Company in its Quarterly Report on Form 10-Q for the quarterly period ended September 30, 2014, filed with the Securities and Exchange Commission on November 4, 2014.

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Item 1(a).

Name of Issuer:

Adamas Pharmaceuticals, Inc.

Item 1(b).

Address of Issuer's Principal Executive Offices:

1900 Powell St, Suite 750

Emeryville, CA 94608

Item 2(a).

Name of Person Filing:

Gregory T Went

Item 2(b).

Address of Principal Business Office or, if none, Residence:

The address for the principal business office of Gregory Went is:

1900 Powell St, Suite 750

Emeryville, CA 94608

Item 2(c).

Citizenship:

United States

Item 2(d).

Title of Class of Securities:

Common Stock, par value \$0.001

Item 2(e).

CUSIP Number:

00548A 106

Item 3.

If this statement is filed pursuant to §§240.13d-1(b), or 240.13d-2(b) or (c), check whether the person filing is a:

- | | | |
|-----|-----------------------|---|
| (a) | <input type="radio"/> | Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o); |
| (b) | <input type="radio"/> | Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c); |
| (c) | <input type="radio"/> | Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c); |
| (d) | <input type="radio"/> | Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8); |
| (e) | <input type="radio"/> | An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E); |
| (f) | <input type="radio"/> | An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F); |
| (g) | <input type="radio"/> | A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G); |
| (h) | <input type="radio"/> | A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813); |
| (i) | <input type="radio"/> | A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3); |
| (j) | <input type="radio"/> | A non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J); |
| | | Group, in accordance with §240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with |
| (k) | <input type="radio"/> | § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: _____ |

Item 4. Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- | | | | | | | | | | | | | | | |
|-------|--|---|-----|---|--------------|------|---|------------|-------|--|--------------|------|--|------------|
| (a) | Amount beneficially owned: | 2,021,788(5) | | | | | | | | | | | | |
| (b) | Percent of class: | 11.8(6) | | | | | | | | | | | | |
| (c) | Number of shares as to which the person has: | <table border="0"> <tr> <td style="vertical-align: top;">(i)</td> <td style="vertical-align: top;">Sole power to vote or to direct the vote:</td> <td style="vertical-align: top;">1,441,340(7)</td> </tr> <tr> <td style="vertical-align: top;">(ii)</td> <td style="vertical-align: top;">Shared power to vote or to direct the vote:</td> <td style="vertical-align: top;">580,448(8)</td> </tr> <tr> <td style="vertical-align: top;">(iii)</td> <td style="vertical-align: top;">Sole power to dispose or to direct the disposition of:</td> <td style="vertical-align: top;">1,441,340(7)</td> </tr> <tr> <td style="vertical-align: top;">(iv)</td> <td style="vertical-align: top;">Shared power to dispose or to direct the disposition of:</td> <td style="vertical-align: top;">580,448(8)</td> </tr> </table> | (i) | Sole power to vote or to direct the vote: | 1,441,340(7) | (ii) | Shared power to vote or to direct the vote: | 580,448(8) | (iii) | Sole power to dispose or to direct the disposition of: | 1,441,340(7) | (iv) | Shared power to dispose or to direct the disposition of: | 580,448(8) |
| (i) | Sole power to vote or to direct the vote: | 1,441,340(7) | | | | | | | | | | | | |
| (ii) | Shared power to vote or to direct the vote: | 580,448(8) | | | | | | | | | | | | |
| (iii) | Sole power to dispose or to direct the disposition of: | 1,441,340(7) | | | | | | | | | | | | |
| (iv) | Shared power to dispose or to direct the disposition of: | 580,448(8) | | | | | | | | | | | | |

Item 5. Ownership of 5 Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following ☐.

Item 6. Ownership of More than 5 Percent on Behalf of Another Person

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.

Not applicable.

Item 8. Identification and Classification of Members of the Group

Not applicable.

Item 9. Notice of Dissolution of a Group

Not applicable.

(5) Includes 1,428,000 shares subject to options exercisable within 60 days of December 31, 2014, 13,332 shares held by the Minor Custodianships, and 580,448 shares held by the Trusts.

(6) The beneficial ownership percentage is based upon 17,125,780 shares of common stock, par value \$0.001, of Adamas Pharmaceuticals, Inc., a Delaware corporation (the "Company"), deemed issued and outstanding as of October 30, 2014 based on information reported by the Company in its Quarterly Report on Form 10-Q for the quarterly period ended September 30, 2014, filed with the Securities and Exchange Commission on November 4, 2014.

(7) Includes 1,428,000 shares subject to options exercisable within 60 days of December 31, 2014. Also includes 13,332 shares held by the Minor Custodianships.

(8) Includes 580,448 shares held by the Trusts.

Certification

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 17, 2015
Date

/s/Gregory T Went
Gregory T Went

ATTENTION:

Intentional misstatements or omissions of fact constitute Federal Criminal Violations (See 18 U.S.C. 1001)