Invitae Corp Form 4 May 18, 2016

# FORM 4

### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Dumond Patty			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	Invitae Corp [NVTA]  3. Date of Earliest Transaction	(Check all applicable)		
C/O INVITAE CORPORATION, 458 BRANNAN STREET		BRANNAN	(Month/Day/Year) 05/16/2016	Director 10% OwnerX_ Officer (give title Other (specify below)  VP Fin. & Principal Acct. Off.		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		

Filed(Month/Day/Year)

SAN FRANCISCO, CA 94107

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City)	(State) (	(Zip) Table	e I - Non-D	erivative :	Securi	ities Acq	quired, Disposed	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	05/16/2016		M(1)	1,000	A	\$ 2.82	12,033 (2)	D	
Common Stock	05/16/2016		S <u>(1)</u>	1,000	D	\$ 8.73	11,033 (2)	D	
Common Stock	05/17/2016		S <u>(1)</u>	2,221	D	\$ 8.35 (3)	8,812	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 2.82	05/16/2016		M <u>(1)</u>	1,000	<u>(4)</u>	09/11/2023	Common Stock	1,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dumond Patty C/O INVITAE CORPORATION 458 BRANNAN STREET SAN FRANCISCO, CA 94107

VP Fin. & Principal Acct. Off.

# **Signatures**

/s/ Patty
Dumond
05/18/2016

\*\*Signature of
Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b 5-1 trading plan previously adopted by the reporting person.
- (2) Includes 2,221 shares of the Company's Common Stock acquired pursuant to the Company's Employee Stock Purchase Plan.
- Represents a weighted average purchase price for multiple transactions at prices ranging from \$7.94 to \$8.77, inclusive. Upon request by

(3) the Commission staff, the Company or a security holder of the Company, the reporting person will provide full information regarding the number of shares purchased by the reporting person on May 17, 2016 at each separate price.

Reporting Owners 2

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(4) The option became exercisable as to 25% of the shares on September 11, 2014, and becomes exercisable as to 1/48th of the shares each full month thereafter.

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