Whelen John K Form 3 January 02, 2018

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ENBRIDGE INC [ENB] Whelen John K (Month/Day/Year) 01/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O ENBRIDGE INC., Â 200, (Check all applicable) 425 1ST STREET SW (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting See Remarks Person CALGARY, A0Â T2P 3L8 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Shares D 119,860 Held through Enbridge Employee Common Shares 1,744 Ι Savings Plan Registered Retirement Savings Plan Held through McLean Partners Common Shares 1.166 Ι Registered Retirement Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	02/25/2019	Common Shares	90,000	\$ 19.805 (11)	D	Â
Stock Option (right to buy)	(1)	02/16/2020	Common Shares	55,000	\$ 23.295 (11)	D	Â
Stock Option (right to buy)	(1)	02/14/2021	Common Shares	84,000	\$ 28.775 (11)	D	Â
Stock Option (right to buy)	(1)	03/02/2022	Common Shares	77,050	\$ 38.34 (11)	D	Â
Stock Option (right to buy)	(1)	02/27/2023	Common Shares	78,550	\$ 44.83 (11)	D	Â
Stock Option (right to buy)	(2)	03/13/2024	Common Shares	92,700	\$ 48.81 (11)	D	Â
Stock Option (right to buy)	(3)	03/02/2025	Common Shares	109,670	\$ 59.08 (11)	D	Â
Stock Option (right to buy)	(4)	03/01/2026	Common Shares	82,430	\$ 44.06 (11)	D	Â
Stock Option (right to buy)	(5)	02/28/2027	Common Shares	152,910	\$ 55.84 (11)	D	Â
Performance Stock Units	(6)	(6)	Common Shares	15,273.6106 (7)	\$ <u>(6)</u>	D	Â
Performance Stock Units	(8)	(8)	Common Shares	11,201.2848 (7)	\$ (8)	D	Â
Performance Stock Units	(9)	(9)	Common Shares	9,619.1339 (7)	\$ <u>(9)</u>	D	Â
Restricted Stock Units	(10)	(10)	Common Shares	3,409.1805 (7)	\$ (10)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Whelen John K	Â	Â	See Remarks	Â		
C/O ENBRIDGE INC.						

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#### 200, 425 1ST STREET SW CALGARY, A0Â T2P 3L8

## **Signatures**

/s/ Vas Antoniou, attorney-in-fact

01/02/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options are fully vested.
- (2) The options were granted on March 13, 2014 and vest in four equal annual installments.
- (3) The options were granted on March 2, 2015 and vest in four equal annual installments.
- (4) The options were granted on February 29, 2016 and vest in four equal annual installments.
- (5) The options were granted on February 28, 2017 and vest in four equal annual installments.
- The units were granted on January 1, 2015 and will vest on December 31, 2017. The units represent a contingent right to receive in cash
- (6) the value of one Enbridge Common Share based on pre-determined performance factors, with a maximum payment on settlement of 200% of the grant.
- (7) Total includes the reinvestment of dividends and is rounded down.
- The units were granted on January 1, 2016 and will vest on December 31, 2018. The units represent a contingent right to receive in cash the value of one Enbridge Common Share based on pre-determined performance factors, with a maximum payment on settlement of 200% of the grant.
- The units were granted on January 1, 2017 and will vest on December 31, 2019. The units represent a contingent right to receive in cash the value of one Enbridge Common Share based on pre-determined performance factors, with a maximum payment on settlement of 200% of the grant.
- (10) Each unit represents a contingent right to receive one Enbridge Common Share. The units were granted on January 1, 2017 and will vest on December 1, 2019, on the condition that the officer remain employed at Enbridge throughout that time.
- (11) The exercise price is in Canadian dollars.

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#### **Remarks:**

### Executive Vice President & Chief Financial Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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