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CABOT MICROELECTRONICS CORP

Form 4

September 04, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

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Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SMITH STEPHEN R

2. Issuer Name and Ticker or Trading

Symbol

CABOT MICROELECTRONICS CORP [CCMP]

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

(Month/Day/Year) 09/03/2013

Director 10% Owner X_ Officer (give title Other (specify

VP, Marketing

C/O CABOT **MICROELECTRONICS** CORPORATION, 870 COMMONS **DRIVE**

(State)

(Street) 4. If Amendment, Date Original

(Zip)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

below)

X Form filed by One Reporting Person Form filed by More than One Reporting

AURORA, IL 60504

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ransaction Date 2A. Deemed enth/Day/Year) Execution Date, if any (Month/Day/Year)		4. Securi oner Dispos (Instr. 3,	sed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Ownership Indirect Form: Beneficial	Beneficial Ownership
Common Stock	09/03/2013		Code V M	5,110	A	\$ 26.05	24,982	D	
Common Stock	09/03/2013		S(1)	5,110	D	\$ 36.6153	19,872	D	
Common Stock	09/04/2013		M	7,880	A	\$ 26.05	27,752	D	
Common Stock	09/04/2013		S <u>(1)</u>	7,880	D	\$ 36.502	19,872	D	

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Common Stock	09/04/2013	M	8,704	A	\$ 21.77	28,576	D
Common Stock	09/04/2013	S <u>(1)</u>	8,704	D	\$ 36.502	19,872	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 26.05	09/03/2013		M	5,110	12/10/2005(2)	12/10/2014	Common Stock	5,110
Stock Options (Right to Buy)	\$ 26.05	09/04/2013		M	7,880	12/10/2005(2)	12/10/2014	Common Stock	7,880
Stock Options (Right to Buy)	\$ 21.77	09/04/2013		M	8,704	12/01/2007(3)	12/01/2016	Common Stock	8,704

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
SMITH STEPHEN R			VP, Marketing			
C/O CABOT MICROELECTRONICS CORPORATION						
870 COMMONS DRIVE						

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AURORA, IL 60504

Signatures

/s/ H. Carol Bernstein (Power of Attorney)

09/03/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale to cover exercise price of, and taxes related to, exercise of stock options.
- (2) Vesting Schedule: 25% 12/10/05 25% 12/10/06 25% 12/10/07 25% 12/10/08
- (3) 2006 Stock Option Grant Award Vesting Schedule: 25% 12/1/07, 25% 12/1/08, 25% 12/1/09, 25% 12/1/10

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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