

Ingersoll-Rand plc
Form 4
March 04, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Zafari Robert

(Last) (First) (Middle)

C/O INGERSOLL-RAND
COMPANY, 800-E BEATY
STREET

(Street)

DAVIDSON, NC 28036

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Ingersoll-Rand plc [IR]

3. Date of Earliest Transaction
(Month/Day/Year)

02/28/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title below) ____ Other (specify below)

EVP, Industrial Segment

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Ordinary Shares	02/28/2014		M		13,910	A \$ 34.39	82,839.14	D	
Ordinary Shares	02/28/2014		M		18,471	A \$ 31.11	101,310.14	D	
Ordinary Shares	02/28/2014		M		6,577	A \$ 13.49	107,887.14	D	
Ordinary Shares	02/28/2014		M		10,744	A \$ 25.22	118,631.14	D	
Ordinary Shares	02/28/2014		M		9,357	A \$ 37.74	127,988.14	D	

Edgar Filing: Ingersoll-Rand plc - Form 4

Ordinary Shares	02/28/2014	M	5,873	A	\$ 37.71	133,861.14	D	
Ordinary Shares	02/28/2014	M	5,743	A	\$ 32.46	139,604.14	D	
Ordinary Shares	02/28/2014	M	7,208	A	\$ 32.43	146,812.14	D	
Ordinary Shares	02/28/2014	M	6,973	A	\$ 41.91	153,785.14	D	
Ordinary Shares	02/28/2014	S	84,856	D	\$ 61.45 (1)	68,929.14	D	
Ordinary Shares (2)						3,157.03	I	By Plan Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Amount or Number of Shares (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 34.39	02/28/2014		M	13,910	<u>(3)</u>	02/06/2017	Ordinary Shares	13,910	
Stock Option (Right to Buy)	\$ 31.11	02/28/2014		M	18,471	<u>(4)</u>	02/14/2018	Ordinary Shares	18,471	
Stock Option (Right to Buy)	\$ 13.49	02/28/2014		M	6,577	<u>(5)</u>	02/11/2019	Ordinary Shares	6,577	

Stock Option (Right to Buy)	\$ 25.22	02/28/2014	M	10,744	<u>(6)</u>	02/15/2020	Ordinary Shares	10,744
Stock Option (Right to Buy)	\$ 37.74	02/28/2014	M	9,357	<u>(7)</u>	02/13/2021	Ordinary Shares	9,357
Stock Option (Right to Buy)	\$ 37.71	02/28/2014	M	5,873	<u>(8)</u>	02/13/2021	Ordinary Shares	5,873
Stock Option (Right to Buy)	\$ 32.46	02/28/2014	M	5,743	<u>(9)</u>	02/23/2022	Ordinary Shares	5,743
Stock Option (Right to Buy)	\$ 32.43	02/28/2014	M	7,208	<u>(10)</u>	02/23/2022	Ordinary Shares	7,208
Stock Option (Right to Buy)	\$ 41.91	02/28/2014	M	6,973	<u>(11)</u>	02/21/2023	Ordinary Shares	6,973

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Zafari Robert C/O INGERSOLL-RAND COMPANY 800-E BEATY STREET DAVIDSON, NC 28036			EVP, Industrial Segment	

Signatures

/s/ Sara Walden Brown,
Attorney-in-Fact

03/04/2014

____Signature of Reporting Person

____Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed in multiple trades ranging from \$61.45 to \$61.48 per share. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

Edgar Filing: Ingersoll-Rand plc - Form 4

- (2) Latest available information provided by the trustee of the Ingersoll-Rand Employee Savings Plan.
- (3) The stock option vests in three (3) equal annual installments beginning on February 7, 2008.
- (4) The stock option vests in three (3) equal annual installments beginning on February 15, 2009.
- (5) The stock option vests in three (3) equal annual installments beginning on February 12, 2010.
- (6) The stock option vests in three (3) equal annual installments beginning on February 16, 2011.
- (7) The stock option vests in three (3) equal annual installments beginning on February 14, 2012.
- (8) The stock option vests in three (3) equal annual installments beginning on February 14, 2012.
- (9) The stock option vests in three (3) equal annual installments beginning on February 24, 2013.
- (10) The stock option vests in three (3) equal annual installments beginning on February 24, 2013.
- (11) The stock option vests in three (3) equal annual installments beginning on February 22, 2014.

Remarks:

Options reflect adjustments related to the Allegion plc spin-off.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.