

Andrews Brian G  
Form 3/A  
May 03, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB  
Number: 3235-0104  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Andrews Brian G

(Last) (First) (Middle)

6140 STONERIDGE MALL  
ROAD, SUITE 590

(Street)

PLEASANTON, CA 94588

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

05/01/2018

3. Issuer Name and Ticker or Trading Symbol  
COOPER COMPANIES INC [COO]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
SVP, CFO & Treasurer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

01/02/2013

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

1,648

D

Andrews

Common Stock

283.25

I

Roth IRA

Common Stock

220.976

I

Traditional IRA

Common Stock

796.347

I

Rollover IRA

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

# Edgar Filing: Andrews Brian G - Form 3/A

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	12/11/2023	Common Stock	2,396	\$ 119.89	D	Â
Employee Stock Option (Right to Buy)	Â <u>(2)</u>	12/09/2024	Common Stock	2,567	\$ 162.28	D	Â
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	12/09/2025	Common Stock	3,356	\$ 131.6	D	Â
Employee Stock Option (Right to Buy)	Â <u>(4)</u>	12/13/2026	Common Stock	2,841	\$ 175.31	D	Â
Employee Stock Option (Right to Buy)	Â <u>(5)</u>	12/12/2027	Common Stock	2,159	\$ 229.66	D	Â
Employee Stock Option (Right to Buy)	Â <u>(5)</u>	12/12/2027	Common Stock	1,727	\$ 229.66	D	Â
Employee Stock Option (Right to Buy)	Â <u>(6)</u>	12/12/2027	Common Stock	1,779	\$ 229.66	D	Â
Restricted Stock Units	Â <u>(7)</u>	Â <u>(8)</u>	Common Stock	166	\$ 0 <u>(9)</u>	D	Â
Restricted Stock Units	Â <u>(10)</u>	Â <u>(8)</u>	Common Stock	308	\$ 0 <u>(9)</u>	D	Â
Restricted Stock Units	Â <u>(11)</u>	Â <u>(8)</u>	Common Stock	570	\$ 0 <u>(9)</u>	D	Â
Restricted Stock Units	Â <u>(12)</u>	Â <u>(8)</u>	Common Stock	570	\$ 0 <u>(9)</u>	D	Â
Restricted Stock Units	Â <u>(13)</u>	Â <u>(8)</u>	Common Stock	555	\$ 0 <u>(9)</u>	D	Â
Restricted Stock Units	Â <u>(14)</u>	Â <u>(8)</u>	Common Stock	544	\$ 0 <u>(9)</u>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Andrews Brian G 6140 STONERIDGE MALL ROAD, SUITE 590 PLEASANTON,Â CAÂ 94588	Â	Â	Â SVP, CFO & Treasurer	Â

## Signatures

/s/ Brian G.  
Andrews

05/03/2018

    Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 12/11/13 NQ Grant: The options will vest in equal increments over five years measured from the date of grant as follows: 1/5 shall vest on 12/11/14; 1/5 shall vest on 12/11/15; 1/5 shall vest on 12/11/16; 1/5 shall vest on 12/11/17; and 1/5 shall vest on 12/11/18.
- (2) 12/9/14 NQ Grant: The options will vest in equal increments over five years measured from the date of grant as follows: 1/5 shall vest on 12/9/15; 1/5 shall vest on 12/9/16; 1/5 shall vest on 12/9/17; 1/5 shall vest on 12/9/18; and 1/5 shall vest on 12/9/19.
- (3) 12/9/15 NQ Grant: The options will vest in equal increments over five years measured from the date of grant as follows: 1/5 shall vest on 12/9/16; 1/5 shall vest on 12/9/17; 1/5 shall vest on 12/9/18; 1/5 shall vest on 12/9/19 and 1/5 shall vest on 12/9/20.
- (4) 12/13/16 NQ Grant: The options will vest in equal increments over five years measured from the date of grant as follows: 1/5 shall vest on 12/13/17; 1/5 shall vest on 12/13/18; 1/5 shall vest on 12/13/19; 1/5 shall vest on 12/13/20 and 1/5 shall vest on 12/13/21.
- (5) 12/12/17 NQ Grant: The options will vest in equal increments on the first through the fifth anniversaries of the date of grant.
- (6) 12/12/17 Exec Grant-3 yr cliff: The grant will vest 100% on 2/1/2021.
- (7) Grant Date 12/11/13 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/15, 1/8/16, 1/8/17, 1/8/18 and 1/8/19.
- (8) RSU Expiration Date: This award has no expiration date. Units will either vest or be forfeit.
- (9) RSU Exercise Price: There is no exercise price associated with the grant of Restricted Stock Units.
- (10) 12/9/14 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/16, 1/8/17, 1/8/18, 1/8/19 and 1/8/20.
- (11) 12/9/15 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/17, 1/8/18, 1/8/19, 1/8/20 and 1/8/21.
- (12) 12/13/16 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/18, 1/8/19, 1/8/20, 1/8/21 and 1/8/22.
- (13) 2/1/2017 RSU Grant - Vest Date: The grant vests 100% on February 1, 2020.
- (14) 12/12/17 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/19, 1/8/20, 1/8/21, 1/8/22 and 1/8/23.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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