Robert W. Sharps Form 4 June 06, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

Expires:

3235-0287

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

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subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

06/01/2018

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Robert W. Sharps Issuer Symbol PRICE T ROWE GROUP INC (Check all applicable) [TROW] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 100 E. PRATT STREET 06/01/2018 Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting BALTIMORE, MD 21202 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 7. Nature Security (Month/Day/Year) Execution Date, if TransactionDisposed of (D) Securities Ownership of Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Owned Direct (D) Ownership (Month/Day/Year) (Instr. 8) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Amount (D) Price Common 06/01/2018 V 14.359 Α 121.8762 461,667.1001 D Stock (1)

V 1,750

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

459,917.1001 D

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D

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.                    | 5.                      | 6. Date Exerc                    |            | 7. Title |          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-----------------------|-------------------------|----------------------------------|------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber     |                         | Expiration D                     | ate Amou   |          | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                  | of                      | (Month/Day/                      | Year)      | Under    | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative |                         | e                                |            | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                       | Securities              |                                  |            | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |                       | Acquired                |                                  |            |          |          |             | Follo  |
|             |             |                     |                    |                       | (A) or                  |                                  |            |          |          |             | Repo   |
|             |             |                     |                    |                       | Disposed                |                                  |            |          |          |             | Trans  |
|             |             |                     |                    |                       | of (D)                  |                                  |            |          |          |             | (Instr |
|             |             |                     |                    |                       | (Instr. 3,<br>4, and 5) |                                  |            |          |          |             |        |
|             |             |                     |                    |                       |                         |                                  |            |          |          |             |        |
|             |             |                     |                    |                       |                         |                                  |            |          |          |             |        |
|             |             |                     |                    |                       |                         |                                  |            |          | Amount   |             |        |
|             |             |                     |                    |                       |                         | Date Expiration Exercisable Date | Expiration | Title    | or       |             |        |
|             |             |                     |                    |                       |                         |                                  | *          |          | Number   |             |        |
|             |             |                     |                    |                       |                         |                                  | Date       |          | of       |             |        |
|             |             |                     |                    | Code V                | (A) (D)                 |                                  |            |          | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Robert W. Sharps

100 E. PRATT STREET Vice President

BALTIMORE, MD 21202

## **Signatures**

/s/ Robert W. 06/05/2018 Sharps

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired pursuant to the T. Rowe Price Group, Inc. Employee Stock Purchase Plan at the noted weighted-average price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2