Platz Wolfgang Form 3 October 05, 2012

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PALL CORP [PLL] Platz Wolfgang (Month/Day/Year) 09/25/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O PALL (Check all applicable) CORPORATION, Â 25 HARBOR PARK DRIVE 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) President Pall Europe \_X\_ Form filed by One Reporting Person **PORT** Form filed by More than One WASHINGTON, NYÂ 11050 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned (Instr. 4) Ownership Form: (Instr. 5) (Instr. 4) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 4,567.94 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (Rights to Buy)	07/17/2008	07/17/2014	Common Stock	437	\$ 48.72	D	Â
Employee Stock Options (Rights to Buy)	07/17/2009	07/17/2014	Common Stock	438	\$ 48.72	D	Â
Employee Stock Options (Rights to Buy)	07/17/2010	07/17/2014	Common Stock	437	\$ 48.72	D	Â
Employee Stock Options (Rights to Buy)	07/17/2011	07/17/2014	Common Stock	438	\$ 48.72	D	Â
Employee Stock Options (Rights to Buy)	07/15/2009	07/15/2015	Common Stock	1,037	\$ 39.02	D	Â
Employee Stock Options (Rights to Buy)	07/15/2010	07/15/2015	Common Stock	1,038	\$ 39.02	D	Â
Employee Stock Options (Rights to Buy)	07/15/2011	07/15/2015	Common Stock	1,037	\$ 39.02	D	Â
Employee Stock Options (Rights to Buy)	07/15/2012	07/15/2015	Common Stock	1,038	\$ 39.02	D	Â
Employee Stock Options (Rights to Buy)	07/16/2010	07/16/2016	Common Stock	985	\$ 28.11	D	Â
Employee Stock Options (Rights to Buy)	07/16/2011	07/16/2016	Common Stock	985	\$ 28.11	D	Â
Employee Stock Options (Rights to Buy)	07/16/2012	07/16/2016	Common Stock	985	\$ 28.11	D	Â
Employee Stock Options (Rights to Buy)	07/16/2013	07/16/2016	Common Stock	985	\$ 28.11	D	Â
Employee Stock Options (Rights to Buy)	07/14/2011	07/14/2017	Common Stock	962	\$ 36.64	D	Â
Employee Stock Options (Rights to Buy)	07/14/2012	07/14/2017	Common Stock	963	\$ 36.64	D	Â
Employee Stock Options (Rights to Buy)	07/14/2013	07/14/2017	Common Stock	962	\$ 36.64	D	Â
Employee Stock Options (Rights to Buy)	07/14/2014	07/14/2017	Common Stock	963	\$ 36.64	D	Â
Employee Stock Options (Rights to Buy)	01/18/2013	01/18/2019	Common Stock	3,698	\$ 59.75	D	Â
Employee Stock Options (Rights to Buy)	01/18/2014	01/18/2019	Common Stock	3,698	\$ 59.75	D	Â
Employee Stock Options (Rights to Buy)	01/18/2015	01/18/2019	Common Stock	3,698	\$ 59.75	D	Â

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Employee Stock Options (Rights to Buy)	01/18/2016	01/18/2019	Common Stock	3,698	\$ 59.75	D	Â
Restricted Stock Units	07/16/2013	07/16/2013	Common Stock	2,376.915	\$ <u>(1)</u>	D	Â
Restricted Stock Units	07/14/2014	07/14/2014	Common Stock	5,094.31	\$ <u>(1)</u>	D	Â
Restricted Stock Units	01/18/2016	01/18/2016	Common Stock	4,263.625	\$ <u>(1)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Platz Wolfgang					
C/O PALL CORPORATION	â	â	President Pall Europe	â	
25 HARBOR PARK DRIVE	A	A	A President Pan Europe	Α	
PORT WASHINGTON, NY 11050					

# **Signatures**

/s/ Cherita Thomas as Attorney-in-Fact for Wolfgang
Platz
10/05/2012

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Units will vest and become convertible into shares of Common Stock of the Issuer on a one-for-one basis on the date shown in column 2, provided the Reporting Person is still employed by the Issuer or a subsidiary on that date. If employment terminates sooner, the Units will be forfeited unless termination of employment occurs because of death, disability or retirement, in any of which events the Units may vest in whole or in part.

Date



#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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