Prothena Corp plc Form 3 December 28, 2012

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ELAN CORP PLC

(Last) (First) (Middle)

Statement (Month/Day/Year)

12/20/2012

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Prothena Corp plc [PRTA]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

TREASURY

BUILDING,, LOWER **GRAND CANAL STREET** 

(Street)

(Check all applicable)

Director \_\_X\_\_ 10% Owner Officer Other (give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line) Form filed by One Reporting

Person

\_X\_ Form filed by More than One

Reporting Person

DUBLIN 2, L2Â 00000

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5) Direct (D)

or Indirect (I)

(Instr. 5)

**Ordinary Shares** 3,182,253  $I^{(1)}$ Indirect (1)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of (Instr. 5)

6. Nature of Indirect Beneficial Ownership

Derivative Security:

(Instr. 4)

**Expiration Date** (Month/Day/Year)

> Price of Derivative

## Edgar Filing: Prothena Corp plc - Form 3

Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of Shares (I)

(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ELAN CORP PLC TREASURY BUILDING, LOWER GRAND CANAL STREET DUBLIN 2, L2 00000	Â	ÂX	Â	Â
Elan Science One Ltd TREASURY BUILDING LOWER GRAND CANAL STREET DUBLIN, L2 DUBLIN 2	Â	ÂX	Â	Â

# **Signatures**

/s/ William F. Daniel, Executive Vice President and Company Secretary

12/28/2012

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares directly owned by Elan Science One Limited ("ES1"). ES1 is an indirect wholly-owned subsidiary of Elan Corporation, plc.

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#### Remarks:

## Exhibit List: Exhibit 99.1 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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