ASTRO MED INC /NEW/

Form 4

September 03, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

		ddress of Reporting F A STEPHEN M	Person *	Symbol		Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
	(Last)	(First) (M	liddle)	3. Date of	Earliest Tr	ransaction	(Check an applicable)				
C/O ASTRO-MED, INC., 600 E GREENWICH AVENUE				(Month/D 03/29/20	•		Director X Officer (gi below)	ve title 10 below) Vice President			
		(Street)		4. If Ame	ndment, Da	nte Original	6. Individual or Joint/Group Filing(Check				
	W WARWI	CK, RI 02893		Filed(Mon	nth/Day/Year	·)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
	(City)	(State)	(Zip)	Tabl	e I - Non-L	Derivative Securities Ac	quired, Disposed	of, or Benefici	ally Owned		
	1.Title of	2. Transaction Date	2A. Deen	ned	3.	4. Securities Acquired	5. Amount of	6.	7. Nature of		
	Security (Instr. 3)	(Month/Day/Year)	Execution any	Date, if	Transactio Code	or(A) or Disposed of (D)	Securities Beneficially	Ownership Form: Direct	Indirect Beneficial		
			(Month/D	av/Year)	(Instr 8)	(Instr. 3. 4 and 5)	Owned	(D) or	Ownership		

							1	. ,	
1.Title of	2. Transaction Date	3.	4. Securi	ties A	cquired	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of				Securities	Ownership	Indirect
(Instr. 3)		any	Code	de (D)			Beneficially	Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	(Instr. 3, 4 and 5)		Owned	(D) or	Ownership
							Following	Indirect (I)	(Instr. 4)
							Reported	(Instr. 4)	
					(A)		Transaction(s)		
			Code V	A 4	or (D)	D	(Instr. 3 and 4)		
			Code V	Amount	(D)	Price			
Common	03/29/2013		M	1,687	A	<u>(1)</u>	13,184	D	
Stock	03/2/12013		141	1,007	7 1	<u> </u>	13,104	D	
						Ф			
Common	03/29/2013		$F^{(2)}$	652	D	\$	12,532	D	
Stock	03/2//2013		·-	032		9.58	12,332	D	
									TT 11'
									Held in
Common									Employee
Common							3,545.63	I	Stock
Stock							•		Ownership
									*
									Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: ASTRO MED INC /NEW/ - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V		(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	03/29/2013		M		1,687	03/29/2013	03/29/2013	Common Stock	1,687

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PETRARCA STEPHEN M C/O ASTRO-MED, INC. 600 E GREENWICH AVENUE W WARWICK, RI 02893

Vice President

Signatures

Margaret D. Farrell (Attorney-in-fact for Stephen M. Petrarca)

09/03/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents the equivalent of one share of ALOT common stock.
- (2) The reporting person delivered 652 shares of the issuer's common stock to the issuer to pay taxes on the vesting of the restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2