### Edgar Filing: TPI COMPOSITES, INC - Form 4

TPI COMPOSIT	ΓES, INC									
July 25, 2016										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									PPROVAL	
Washington, D.C. 20549						N OMB Number:	3235-0287			
Check this bo if no longer subject to Section 16. Form 4 or		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							January 31, 2005 average urs per . 0.5	
Form 5 obligations may continue <i>See</i> Instruction 1(b).	Section 17(	(a) of the l	Public U	Itility Hol	ding Cor		nge Act of 1934, of 1935 or Secti 940			
(Print or Type Resp	oonses)									
1. Name and Address of Reporting Person <u>*</u> GENERAL ELECTRIC CO			2. Issuer Name <b>and</b> Ticker or Trading Symbol			5. Relationship Issuer	5. Relationship of Reporting Person(s) to Issuer			
			TPI COMPOSITES, INC [TPIC]				(Check all applicable)			
(Last) (First) (Middle) 3135 EASTON TURNPIKE,			<ol> <li>Date of Earliest Transaction (Month/Day/Year)</li> <li>07/21/2016</li> </ol>			Director    X 10% Owner       Officer (give title     Other (specify below)				
FAIRFIELD, C	4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting						
		( <b>-</b> , )					Person			
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
	'ransaction Date onth/Day/Year)	2A. Deema Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit mAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report of	on a senarate line	e for each cl	ass of sec	urities hene	ficially ow	ned directly	or indirectly			
Report (	on a separate mit				Perso inform requir	ns who res nation cont ed to resp lys a curre	spond to the colle ained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	Securities Acquired	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	(A) or Disposed of		

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	Derivative Security			(D) (Instr. 3, 4, and 5)					
			Code V	/ (A)	(D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Series B Warrants	\$ 8,748.81	07/21/2016	Х		1.7145	(2)	<u>(2)</u>	Series B Convertible Preferred Stock	1.'
Series B Convertible Preferred Stock	(3)	07/21/2016	X	1.7145		<u>(3)</u>	<u>(3)</u>	Common Stock	2,
Series B Convertible Preferred Stock	(3)	07/21/2016	S <u>(4)</u>		1.0636	(3)	(3)	Common Stock	1,

## **Reporting Owners**

	Relationships					
<b>Reporting Owner Name / Address</b>	Director	10% Owner	Officer	Other		
GENERAL ELECTRIC CO 3135 EASTON TURNPIKE FAIRFIELD, CT 06828		Х				
GE Ventures Ltd 3220 AVIATION HOUSE WESTPARK SHANNON, COUNTY CLARE, L2 00000		Х				
Signatures						
/s/ Barbara Lane, Attorney-in-fact/General El Company	lectric		07/25/	2016		
**Signature of Reporting Person	Date					

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Directly owned by GE Ventures Limited, which is a wholly-owned subsidiary of General Electric Company ("General Electric"). General

Electric disclaims beneficial ownership of the securities of the Issuer held by GE Ventures Limited except to the extent of its pecuniary (1) interest, and the filing of this Form shall not be deemed an admission that General Electric is the beneficial owner of any equity securities of the Issuer for purposes of Section 16 or any other purpose.

The Series B Warrants were deemed automatically exercised prior to the closing of the Issuer's initial underwritten public offering of Common Stock pursuant to a registration statement on Form S-1, which public offering has been declared effective by the SEC. These

(2) securities were exercisable for a period ending upon the earliest to occur of (i) seven years after the effective date, (ii) the date of the Issuer's initial public offering, or (iii) the date of a merger event, in each case, as defined therein.

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The Series B Convertible Preferred Stock (the "Series B Shares") is convertible at any time into shares of the Issuer's Common Stock at(3) the holder's election and automatically convert, upon the closing of the Issuer's initial public offering, into the number of shares of Common Stock shown in column 7 above. These securities do not have an expiration date.

(4) The Reporting Person paid the exercise price on a cashless basis, resulting in the Issuer's withholding of 1.0636 of the Series B Shares to pay the exercise price and issuing to the Reporting Person the remaining 1,715.1869 Series B Shares.

### **Remarks:**

Exhibit 99.1 - Joint Filer Information, incorporated herein by reference.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.