

THINKORSWIM GROUP INC.

Form 4

August 06, 2008

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BARBA LEE K

2. Issuer Name and Ticker or Trading  
Symbol  
THINKORSWIM GROUP INC.  
[SWIM]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
13947 SOUTH MINUTEMAN  
DRIVE

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/04/2008

☐ Director ☐ 10% Owner  
☒ Officer (give title below) Other (specify below)  
Chairman & CEO

(Street)  
DRAPER, UT 84020

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	08/05/2008		M		550,000	A	\$ 0.18	1,220,113	D
Common Stock	08/04/2008		S		900	D	\$ 9.43	1,219,213	D
Common Stock	08/04/2008		S		1,000	D	\$ 9.44	1,218,213	D
Common Stock	08/04/2008		S		2,800	D	\$ 9.45	1,215,413	D
Common Stock	08/04/2008		S		700	D	\$ 9.46	1,214,713	D

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Common Stock	08/04/2008	S	7,100	D	\$ 9.47	1,207,613	D
Common Stock	08/04/2008	S	100	D	\$ 9.475	1,207,513	D
Common Stock	08/04/2008	S	4,000	D	\$ 9.48	1,203,513	D
Common Stock	08/04/2008	S	15,600	D	\$ 9.49	1,187,913	D
Common Stock	08/04/2008	S	28,554	D	\$ 9.5	1,159,359	D
Common Stock	08/04/2008	S	6,803	D	\$ 9.51	1,152,556	D
Common Stock	08/04/2008	S	1,820	D	\$ 9.52	1,150,736	D
Common Stock	08/04/2008	S	3,483	D	\$ 9.53	1,147,253	D
Common Stock	08/04/2008	S	14,440	D	\$ 9.54	1,132,813	D
Common Stock	08/04/2008	S	6,900	D	\$ 9.55	1,125,913	D
Common Stock	08/04/2008	S	18,700	D	\$ 9.56	1,107,213	D
Common Stock	08/04/2008	S	200	D	\$ 9.565	1,107,013	D
Common Stock	08/04/2008	S	12,700	D	\$ 9.57	1,094,313	D
Common Stock	08/04/2008	S	5,500	D	\$ 9.58	1,088,813	D
Common Stock	08/04/2008	S	1,929	D	\$ 9.59	1,086,884	D
Common Stock	08/04/2008	S	3,971	D	\$ 9.6	1,082,913	D
Common Stock	08/04/2008	S	2,700	D	\$ 9.61	1,080,213	D
Common Stock	08/04/2008	S	4,100	D	\$ 9.62	1,076,113	D
Common Stock	08/04/2008	S	1,800	D	\$ 9.63	1,074,313	D
Common Stock	08/04/2008	S	1,300	D	\$ 9.64	1,073,013	D
	08/04/2008	S	1,900	D	\$ 9.65	1,071,113	D

Common  
Stock

Common Stock	08/04/2008	S	1,000	D	\$ 9.66	1,070,113	D
Common Stock	08/05/2008	S	19,100	D	\$ 10	1,051,013	D
Common Stock	08/05/2008	S	600	D	\$ 9.69	1,050,413	D
Common Stock	08/05/2008	S	400	D	\$ 9.75	1,050,013	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Stock Option	\$ 0.18	08/05/2008		M	550,000	02/15/2007 08/28/2008	Common Stock	550,000	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARBA LEE K 13947 SOUTH MINUTEMAN DRIVE DRAPER, UT 84020	X		Chairman & CEO	

## Signatures

/s/ LEE K.  
BARBA

08/06/2008

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### Remarks:

1 of 3

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