GLU MOBILE INC

Form 4

August 19, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

Estimated average **SECURITIES** burden hours per response...

Person

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Leichtner Scott			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			GLU MOBILE INC [GLUU]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
			(Month/Day/Year)	Director 10% Owner		
C/O GLU MOBILE INC., 500 HOWARD STREET, SUITE 300			08/17/2015	X Officer (give title Other (specification) VP and General Counsel		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		

SAN FRANCISCO, CA 94105

(City)	(State)	Zip) Table	e I - Non-D	erivative Secur	ities Acq	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	ion(A) or Disposed of		Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and	(Instr. 3, 4 and 5)		Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
				(4)		Reported		
				(A) or		Transaction(s)		
			Code V	Amount (D)	Price	(Instr. 3 and 4)		
Common	08/17/2015		٨	4,415 A	\$0	41,029	D	
Stock	06/1//2013		Α	4,413 A	<u>(1)</u>	41,029	D	
Common	00/17/0017		E (2)	1.660	\$	20.260	ъ	
Stock	08/17/2015		$F^{(2)}$	1,660 A	4.82	39,369	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Form filed by More than One Reporting

Edgar Filing: GLU MOBILE INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	(A) or Disposed of (D) (Instr. 3, 4,	Expiration D	6. Date Exercisable and Expiration Date Underlying Securit (Instr. 3 and 4)		Securities	8. Pr Deri Secu (Inst
				Code V	and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 (3)	08/17/2015		M <u>(1)</u>	4,415	<u>(4)</u>	<u>(4)</u>	Common Stock	4,415	\$

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Leichtner Scott C/O GLU MOBILE INC. 500 HOWARD STREET, SUITE 300 SAN FRANCISCO, CA 94105			VP and General Counsel				

Signatures

/s/ Scott J.
Leichtner

**Signature of Reporting Person

08/19/2015

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting of restricted stock units ("RSUs") granted to Mr. Leichtner on October 8, 2013.
 - Exempt transaction pursuant to Section 16b-3(e) payment of exercise price or tax liability by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b-3. All of the shares reported as disposed of in
- (2) this Form 4 were relinquished by Mr. Leichtner and cancelled by Glu in exchange for Glu's agreement to pay federal and state tax withholding obligations of Mr. Leichtner resulting from the vesting of RSUs. Mr. Leichtner did not sell or otherwise dispose of any of the shares reported on this Form 4 for any reason other than to cover required taxes
- (3) Each restricted stock unit represents a contingent right to receive one share of Glu Mobile Inc. common stock.
 - The restricted stock unit vested as to 25% of the total number of shares subject to the RSU on November 17, 2014 (the "RSU First Vesting Date"), with the remaining 75% of the underlying shares vesting in equal quarterly installments over the next three years
- (4) following the RSU First Vesting Date on the same day of each third month (e.g., the first quarterly vesting date was scheduled for February 15, 2015, the next quarterly vesting date was May 15, 2015, etc.); provided, however, that if any portion of the RSU vests on a date that is a non-trading day on The NASDAQ Stock Market, then the RSU will vest on the next trading day.

Reporting Owners 2

Edgar Filing: GLU MOBILE INC - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.