Clean Energy Fuels Corp. Form 4

January 05, 2016

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Title of

Security

(Instr. 3)

Common

Stock

1. Name and Address of Reporting Person \* Littlefair Andrew J

(First)

(Middle)

C/O CLEAN ENERGY FUELS CORP., 4675 MACARTHUR

COURT, SUITE 800

(Street)

(State)

01/05/2016

NEWPORT BEACH, CA 92660

2. Issuer Name and Ticker or Trading

Symbol

Clean Energy Fuels Corp. [CLNE]

3. Date of Earliest Transaction (Month/Day/Year) 01/05/2016

4. If Amendment, Date Original

3.

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Person

Securities

Owned

Beneficially

Issuer

below)

\_X\_\_ Director

Applicable Line)

X\_ Officer (give title

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Zip)

(Month/Day/Year)

Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

Following Reported (A) Transaction(s) or (Instr. 3 and 4) (D) Price

4. Securities Acquired 5. Amount of

Code V Amount

 $A^{(1)}$ 40,000

\$0 Α 737,257

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Number: January 31, Expires:

**OMB APPROVAL** 

2005 Estimated average burden hours per

10% Owner

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

(9-02)

Form: Direct Indirect

Other (specify

response...

OMB

5. Relationship of Reporting Person(s) to

(Check all applicable)

CEO and President

6. Individual or Joint/Group Filing(Check

(D) or

Indirect (I)

(Instr. 4)

D

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

0.5

3235-0287

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	erivative Expiration Date ecurities (Month/Day/Year) acquired (A) r Disposed of D) instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 1 5 (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 3.63	01/05/2016		A	24,000	(2)	01/05/2026	Common Stock	24,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
comporting of the control of the con	Director	10% Owner	Officer	Other		
Littlefair Andrew J						
C/O CLEAN ENERGY FUELS CORP.	X		CEO and President			
4675 MACARTHUR COURT, SUITE 800			CEO and President			
NEWPORT BEACH, CA 92660						

# **Signatures**

/s/ J. Nathan Jensen, Attorney-in-Fact 01/05/2016

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents an award of restricted stock units ("RSUs") that vest as follows: 34% of the total shares subject to the RSUs vest upon the first (1) anniversary of the date of grant and 33% vest on each anniversary thereafter until the RSUs are fully vested. Each RSU represents a contingent right to receive one share of the Issuer's common stock upon the vesting and settlement of the RSUs.
- (2) 34% of the total shares subject to the stock option vest upon the first anniversary of the date of grant, and 33% vest on each anniversary thereafter until the stock option is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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