PURCELL PHILIP J Form 4

October 01, 2002

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of

1940

Expires: January 31, 2005 Estimated average burden hours per response. 0.5

OMB Number: 3235-0287

OMB APPROVAL

1. Name and Address of Reporting Person* Purcell, Philip J.

(First)

(State)

(Zip)

(Last) (Middle)

Morgan Stanley 1585 Broadway

(Street) New York. NY 10036

(City)

2. Issuer Name and Ticker or Trading Symbol

Morgan Stanley MWD

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Statement for Month/Day/Year

09/27/2002

5. If Amendment, Date of Original (Month/Day/Year) 6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X Director _ 10% Owner X Officer (give title below) _ Other (specify below)

Chairman of the Description **Board and CEO**

- 7. Individual or Joint/Group Filing (Check Applicable Line)
- X Form filed by One Reporting Person Form filed by More than One Reporting Person

	т	able I - Non-Derivat	ive Sec	uriti	ies Acquir	ed, Dis	posed	of, or Beneficiall	y Owned	
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Cod (Inst	е	4. Securit (A) or Dis (Instr. (posed	Of (D)	5. Amount of Securities Beneficially Owned Following	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Common Stock	09/27/2002		G	٧	634	D	\$	2,957,303	D	
Common Stock							\$	14,079	ı	By 401(k) Plan/ESOP Trust
Common Stock							\$	5,244	ı	By Child
Common Stock							\$	45,362	ı	By Spouse

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

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			(6	e.g., puts	s, ca	alls,	war	rants	, opti	ions, c	onvertible	securities)			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	n or Transaction Date ce of ri- ive (Month/ Day/	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transactio Code (Instr.8)		onNumber of a Derivativ Secur		and ive Expirati intitiesse(ED) ed (Month/		,		8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr.4)	10. Owner- ship Form of Deriv- ative Securities: Direct (D) or Indirect (I) (Instr.4)	11. Nå In Be O (Ir
				Code	<	Α	О	DE	ED	Title	Amount or Number of Shares				

Explanation of Responses:

By:

/s/ CR Herzer, Attorney-In-Fact

09/30/2002

** Signature of Reporting Person

Date

SEC 1474 (8-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.