CUMMING JOHN W

Form 4

January 08, 2003

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response.....0.5

1. Name and Address of Reporting Person* 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Cumming, John W. and Ticker or Trading Month/Day/Year to Issuer Symbol (Check all applicable) 1/3/2003 (Last) (First) Hologic, Inc. X Director _ 10% Owner (Middle) HOLX X Officer (give title below) c/o Hologic, Inc. _ Other (specify below) 35 Crosby Drive 5. If Amendment, 3. I.R.S. Identification Date of Original Description **President & Chief** Number of Reporting (Street) (Month/Day/Year) **Executive Officer** Person, if an entity Bedford, MA 01730 (voluntary) 7. Individual or Joint/Group (State) (City) Filing (Check Applicable Line) (Zip) X Form filed by One Reporting Form filed by More than One Reporting Person

		Table I - Non-Deriv	ative Se	cur	rities Acqı	uired,	Disposed of	, or Beneficially	Owned	
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)		4. Securities Acquired (A) nor Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership
			Code	V	Amount	A/D	Price	(Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock, \$.01 par value	1/3/2003		ı	v	185	А	\$10.52088		I	by wife (1)
Common Stock, \$.01 par value	7/1/2002		ı	v	242	А	\$8.03		I	by wife (1)
							\$	4,320	D	
							\$	427	I	by wife (1)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 2. Conver-3. 3A. 4. 5. Number of 6. Date Exercisable(DE) 7. Title and Amount 8. Price Derivative sion or Transaction Deemed Transaction Derivative of Expiration Date(ED) Underlying Derivative Security Exercise Date Execution Securities Code Security (Instr. 3) Price of (Month/Day/Year) Securities Date, if Acquired (A) (Instr.8) Deri-(Month/ (Instr. 3 and 4) (Instr.5) any vative Day/ Disposed Of (Month/ (D) Security Year) Day/ Year) (Instr. 3, 4 and 5) Amount ٥r Number of Code ٧ Α D DE ED Title Shares Option**(Right COMMON \$5.625 6/25/2009 6/25/1999 30,000 \$ **STOCK** to buy) Option**(Right COMMON \$7.6875 11/25/2000*** 8/25/2010 100,000 \$ STOCK to buy) Option**(Right COMMON \$5.00 1/25/2001*** 10/25/2010 70,000 \$ STOCK to buy) Option**(Right COMMON 150.000 \$5.78 10/31/2001*** 7/31/2011 \$ to buv) STOCK Option**(Right COMMON 10/01/2002 \$5.05 10/01/2011 1,000 \$ STOCK to buy) Option**(Right COMMON \$10.26 11/13/2002*** 11/13/2011 50,000 \$ to buy) STOCK Option**(Right COMMON \$9.50 9/17/2003*** 9/17/2012 75,000 \$ STOCK to buy) Option**(Right COMMON \$4.25 3/27/2001 Α 5,000 3/27/2002*** 3/27/2011 5,000 \$ STOCK to buy) Option**(Right COMMON \$5.05 10/1/2001 500 10/1/2002 10/1/2011 500 Α \$ to buy) STOCK Option**(Right COMMON \$10.26 11/13/2001 Α 2,500 11/13/2002*** 11/13/2011 2,500 \$ to buy) STOCK Option**(Right COMMON \$9.50 2,500 9/17/2003*** 9/17/2012 2,500 9/17/2002 Α \$ to buy) STOCK \$ \$ \$ \$

Explanation of Responses:

⁽¹⁾ The listing of holdings of my wife on Table 1 is not intended to signify in any way that I own or have a beneficial interest in those shares. These represent 185 on 1/3/2003 and 242 7/1/2002 shares acquired under the Hologic Section 423 Employee Share Purchase Plan by my wife.

⁽²⁾ The listing of holdings of my wife on Table 2 is not intended to signify in any way that I own or have a beneficial interest in those employee stock options.

^{**}Employee Stock Option

^{***}Subject to vesting schedule

Pursuant to a Power of Attorney dated September 19, 2002, the reporting person appointed each of Glenn P. Muir and Susan Schoenhals to act as the reporting person's attorney-in-fact with respect to Section 16 filings to be filed with the SEC. The Power of Attorney shall remain in effect until the reporting person is no longer a Section 16 reporting person or such earlier time as the reporting person revokes the Power of Attorney.

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By:

/s/ John W. Cumming

1/8/2003

** Signature of Reporting Person

Date

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.