**MOSAIC CO** Form 4 October 07, 2005

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading PERTZ DOUGLAS A Issuer Symbol MOSAIC CO [MOS] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner \_ Other (specify Officer (give title 585 BANK LANE, SUITE 200 10/05/2005 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### LAKE FOREST, IL 60045

(Stata)

(City)	(State)	(Zip) Tab	le I - Non-I	<b>Derivative</b>	Secui	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	on(A) or Di	4. Securities Acquired A) or Disposed of (D) Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/05/2005		Code V M	Amount 3,452	(D)	Price \$ 0	69,414.52	D	
Common Stock	10/05/2005		F	1,121	D	\$ 15.085	68,293.52	D	
Common Stock	10/05/2005		M	3,470	A	\$ 0	71,763.52	D	
Common Stock	10/05/2005		F	1,127	D	\$ 15.085	70,636.52	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

Person

### Edgar Filing: MOSAIC CO - Form 4

# required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 18.1875					10/22/2004	08/28/2008	Common Stock	320,000
Stock Option (right to buy)	\$ 18.1875					10/22/2004	08/28/2008	Common Stock	180,000
Stock Option (right to buy)	\$ 14.3125					10/22/2004	10/01/2009	Common Stock	275,000
Stock Option (right to buy)	\$ 15.0312					10/22/2004	02/22/2010	Common Stock	254,000
Stock Option (right to buy)	\$ 15.0625					10/22/2004	03/30/2010	Common Stock	124,040
Stock Option (right to buy)	\$ 13.55					10/22/2004	02/28/2011	Common Stock	325,000
Stock Option (right to buy)	\$ 10.76					10/22/2004	01/02/2013	Common Stock	32,800
Grant of Restricted	\$ 0	10/05/2005		M	3,452	11/30/2007	<u>(1)</u>	Common Stock	3,452

Stock Units

Grant of

Restricted \$0 10/05/2005 M 3,470 08/01/2008 (1) Common Stock 3,470

Units

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PERTZ DOUGLAS A
585 BANK LANE
SUITE 200
LAKE FOREST, IL 60045

## **Signatures**

s/Richard L. Mack Attorney in fact for Douglas A.
Pertz
10/07/2005

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not Applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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