## Edgar Filing: CAPITAL ONE FINANCIAL CORP - Form 4

| CAPITAL O<br>Form 4<br>June 25, 2007<br><b>FORM</b><br>Check thi<br>if no long<br>subject to<br>Section 14<br>Form 4 ou<br>Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b). | <b>4</b> UNITED<br>s box<br>er<br>6.<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5 | STATES<br>MENT O<br>rsuant to<br>(a) of the  | Was<br>F CHAN<br>Section 10 | Shington,<br>GES IN I<br>SECUR<br>6(a) of the<br>ility Hold | D.C. 20<br>BENEF<br>ITIES<br>e Securit<br>ling Con | 549<br>ICIA<br>ies E<br>npany | <b>L OW</b><br>xchange<br>Act of   | OMMISSION<br>NERSHIP OF<br>e Act of 1934,<br>1935 or Section<br>0   | OMB<br>Number:<br>Expires:<br>Estimated a<br>burden hou<br>response  |                          |  |
|--|---|--|-----------------------------|---|--|-------------------------------|--|---|--|--------------------------|--|
| FAIRBANK RICHARD D Symbol  |   |  |                             | er Name <b>and</b> Ticker or Trading                        |  |                               |  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |  |                          |  |
|  |   |  | -                           |   |  |                               | X Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Chairman, CEO and President |   |  |                          |  |
|  |   |  |                             | endment, Date Original<br>onth/Day/Year)                    |  |                               |  | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul> |  |                          |  |
| (City)   | (State)   | (Zip)  | Table                       | e I - Non-D   | erivative  | Secur                         | ities Acq  | uired, Disposed of  | , or Beneficial  | ly Owned                 |  |
| 1.Title of<br>Security<br>(Instr. 3)   |   | Transaction Date 2A. Deemed<br>Ionth/Day/Year) Execution Date, if<br>any<br>(Month/Day/Year) |                             |   | 4. Securi<br>n(A) or Di<br>(Instr. 3,              | (A)<br>or                     | d of (D)<br>5)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | 6. Ownership 7. Nature of<br>Form: Direct Indirect<br>(D) or Beneficial<br>Indirect (I) Ownership<br>(Instr. 4) (Instr. 4) |                          |  |
| Common<br>Stock (1) (2)  | 06/22/2007  |  |                             | Code V<br>S   | Amount<br>100                                      | (D)<br>D                      | Price<br>\$<br>80.87   | 2,206,456   | D  |                          |  |
| Common<br>Stock (1)  | 06/22/2007  |  |                             | S   | 100  | D                             | \$ 80.9  | 2,206,356   | D  |                          |  |
| Common<br>Stock (1)  | 06/22/2007  |  |                             | S   | 100  | D                             | \$<br>80.93  | 2,206,256   | D  |                          |  |
| Common<br>Stock  |   |  |                             |   |  |                               |  | 107,502   | Ι  | By<br>Fairbank<br>Morris |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, |                     | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|--|---------------------|--------------------|---|--|---|---|
|   |   |   | Code V                                 | 4, and 5)<br>(A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address                                   | Relationships |            |                                   |       |  |  |  |  |
|--|---------------|------------|-----------------------------------|-------|--|--|--|--|
|  | Director      | 10% Owner  | Officer                           | Other |  |  |  |  |
| FAIRBANK RICHARD D<br>1680 CAPITAL ONE DRIVE<br>MCLEAN, VA 22102 | Х             |            | Chairman,<br>CEO and<br>President |       |  |  |  |  |
| Signatures   |               |            |                                   |       |  |  |  |  |
| /s/ Tangela S. Richter (POA) on fi<br>Fairbank                   | d D.          | 06/25/2007 |                                   |       |  |  |  |  |
| **Signature of Reporting   |               | Date       |                                   |       |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed pursuant to a trading plan entered into by the Reporting Person on February 1, 2007, in accordance with Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
- (2) Due to SEC rules limiting the number of non-derivative transactions that can be reported on a single Form 4, this Form 4 is a continuation of the Form 4 filed for the same date listed above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.