Edgar Filing: CAPITAL ONE FINANCIAL CORP - Form 4

CAPITAL O Form 4 June 27, 200	NE FINANC	IAL CORP					-					
FORN	1 /									OMB AF	PROVAL	•
	UNITH	ED STATE				ND EX(D.C. 20:		NGE C	COMMISSION	OMB Number:	3235-0	287
Check the if no long	ter			U						Expires:	January	
subject to Section 1 Form 4 o	6. SIAI	STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES								ERSHIP OF Estimated av burden hours response		005 0.5
Form 5 obligations may continue. See Instruction 1(b). Form 5 See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type F	Responses)											
	ddress of Report	-	Symbol			Ticker or		0	5. Relationship of Issuer	Reporting Pers	on(s) to	
			[COF]	APITAL ONE FINANCIAL CORP COF]				CORP	(Check all applicable)			
				te of Earliest Transaction hth/Day/Year)					X_ Director 10% Owner X_ Officer (give title Other (specify below) below)			
1680 CAPI	TAL ONE DR	RIVE	06/25/20	/2007					Chairman, CEO and President			
				nendment, Date Original Ionth/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	e I - Noi	n-De	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				-			1
~				Code	v	Amount	or (D)	Price	(Instr. 3 and 4)			
$\frac{\text{Common}}{\text{Stock } (1) (2)}$	06/25/2007			S		100	D	\$ 80.01	2,212,856	D		
Common Stock (1)	06/25/2007	25/2007			S 100 D		D	\$ 80.02	2,212,756 D			
Common Stock (1)	06/25/2007	25/2007			S 100 D ^{\$} 80.		\$ 80.03	2,212,656 D				
Common Stock (1)	06/25/2007			S		100	D	\$ 80.06	2,212,556	D		
Stock <u>·</u>												

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Common Stock (1)	06/25/2007	S	100	D	\$ 80.09	2,212,256	D
Common Stock (1)	06/25/2007	S	100	D	\$ 80.1	2,212,156	D
Common Stock (1)	06/25/2007	S	300	D	\$ 80.11	2,211,856	D
Common Stock (1)	06/25/2007	S	100	D	\$ 80.12	2,211,756	D
Common Stock (1)	06/25/2007	S	100	D	\$ 80.13	2,211,656	D
Common Stock (1)	06/25/2007	S	200	D	\$ 80.14	2,211,456	D
Common Stock (1)	06/25/2007	S	100	D	\$ 80.17	2,211,356	D
Common Stock (1)	06/25/2007	S	400	D	\$ 80.18	2,210,956	D
Common Stock (1)	06/25/2007	S	100	D	\$ 80.2	2,210,856	D
Common Stock (1)	06/25/2007	S	200	D	\$ 80.21	2,210,656	D
Common Stock (1)	06/25/2007	S	100	D	\$ 80.24	2,210,556	D
Common Stock (1)	06/25/2007	S	100	D	\$ 80.25	2,210,456	D
Common Stock (1)	06/25/2007	S	100	D	\$ 80.26	2,210,356	D
Common Stock (1)	06/25/2007	S	200	D	\$ 80.27	2,210,156	D
Common Stock (1)	06/25/2007	S	300	D	\$ 80.28	2,209,856	D
Common Stock (1)	06/25/2007	S	200	D	\$ 80.29	2,209,656	D
Common Stock (1)	06/25/2007	S	100	D	\$ 80.31	2,209,556	D
Common Stock (1)	06/25/2007	S	200	D	\$ 80.32	2,209,356	D
Common Stock (1)	06/25/2007	S	200	D	\$ 80.33	2,209,156	D
Common Stock (1)	06/25/2007	S	100	D	\$ 80.34	2,209,056	D
	06/25/2007	S	200	D		2,208,856	D

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Common Stock (1)					\$ 80.35			
Common Stock (1)	06/25/2007	S	300	D	\$ 80.36	2,208,556	D	
Common Stock (1)	06/25/2007	S	200	D	\$ 80.38	2,208,356	D	
Common Stock						107,502	I	By Fairbank Morris

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
FAIRBANK RICHARD D			Chairman,						
1680 CAPITAL ONE DRIVE	Х		CEO and						
MCLEAN, VA 22102			President						
Signatures									
/s/ Tangela S. Richter (POA) on f Fairbank	d D.	06/27/2007							
<u>**</u> Signature of Reporting		Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed pursuant to a trading plan entered into by the Reporting Person on February 1, 2007, in accordance with Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
- (2) Due to SEC rules limiting the number of non-derivative transactions that can be reported on a single Form 4, this Form 4 is a continuation of the Form 4 filed for the same date listed above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.